

# CIPURSE™SAM

## Datasheet

CIPURSE™SAM is a ready-to-use secure access module and offers secure storage of keys in hardware, cryptographic operations for secure 3-pass mutual authentication, and secure communication between a reader and a card for a variety of applications

## Key features

- **Open Standard** of the OSPT Alliance
  - **Interoperability and easy integration** of CIPURSE™ compliant products
  - Up to **512 (128-bit)** keys can be stored across all ADFs and key files for supporting **SDES, AES-128, and 2k-TDES**
  - Up to **80 (256-bit)** keys can be stored across all key files for supporting **AES-192, AES-256, and 3k-TDES**
  - **Mutual authentication** using AES
  - **Secure messaging** using AES-MAC and AES-ENC
- Compliant to **CIPURSE™SAM specification**
- **High-performance 16-bit SLE 78 security controller with Integrity Guard and CC EAL 6+ (high)**
- **CC EAL 6+, CIPURSE™ certified**

## Potential applications

Optimized for **secure multi-application smart city and mobility cards**

## About this document

### Scope and purpose

This document describes the features, functionality, and operational characteristics of CIPURSE™SAM.

### Intended audience

This document is primarily intended for application and system designers.

*Note: For more details, CIPURSE™SAM V1.2.4 Extended Datasheet available under NDA can be requested from Infineon Technologies.*

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## 1 Introduction

### 1 Introduction

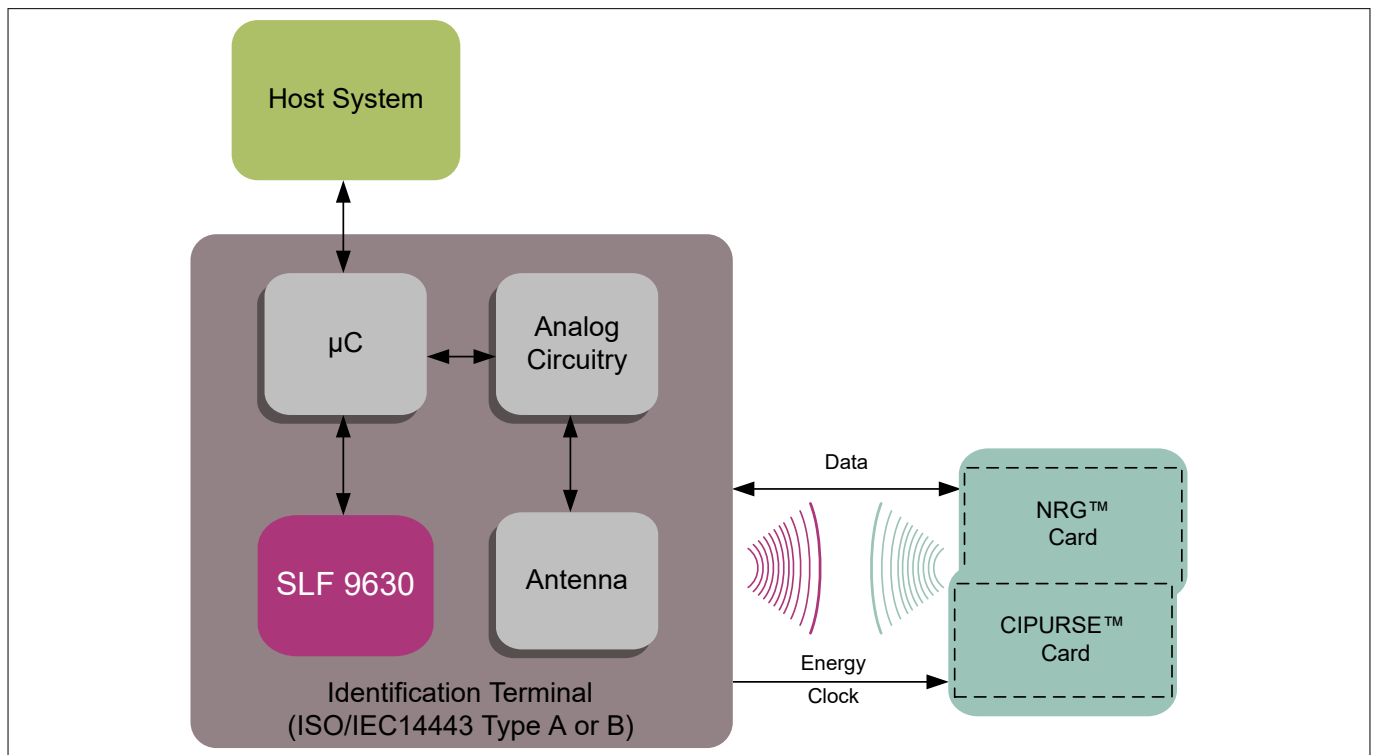
The CIPURSE™SAM is a ready-to-use secure access module (SAM) and offers secure storage of keys in hardware, cryptographic operations for secure 3-pass mutual authentication, and secure communication between a reader and a card for a variety of applications like transport ticketing, automatic fare collection (AFC), access control, micro-payment, loyalty, and other related applications.

CIPURSE™SAM is based on the high-performance 16-bit SLE 78 security controller with Integrity Guard and CC EAL 6+ (High), which is used for eID documents of governments and successfully achieved common criteria EAL 6+ security certification as an independent evidence of its outstanding security level.

CIPURSE™SAM incorporates the CIPURSE™ security architecture, augmented by a combination of hardware and software security measures. Commands and transmitted data can be secured and inherently resistant against physical attacks like differential power analysis (DPA) and differential fault analysis (DFA).

CIPURSE™SAM can be used to communicate with the CIPURSE™ complaint products and 1 KB and 4 KB block oriented memory product(s) with NRG™ interface. Further, CIPURSE™SAM can be used to generate and verify cryptograms required for authentication of CIPURSE™ and NRG™ products within a subsequent secure channel. Therefore, CIPURSE™SAM is the ideal product to support migration from existing non-security or NRG™ legacy systems towards a more advanced, state-of-the-art security architecture and open standard like CIPURSE™.

#### 1.1 System overview



**Figure 1** System overview

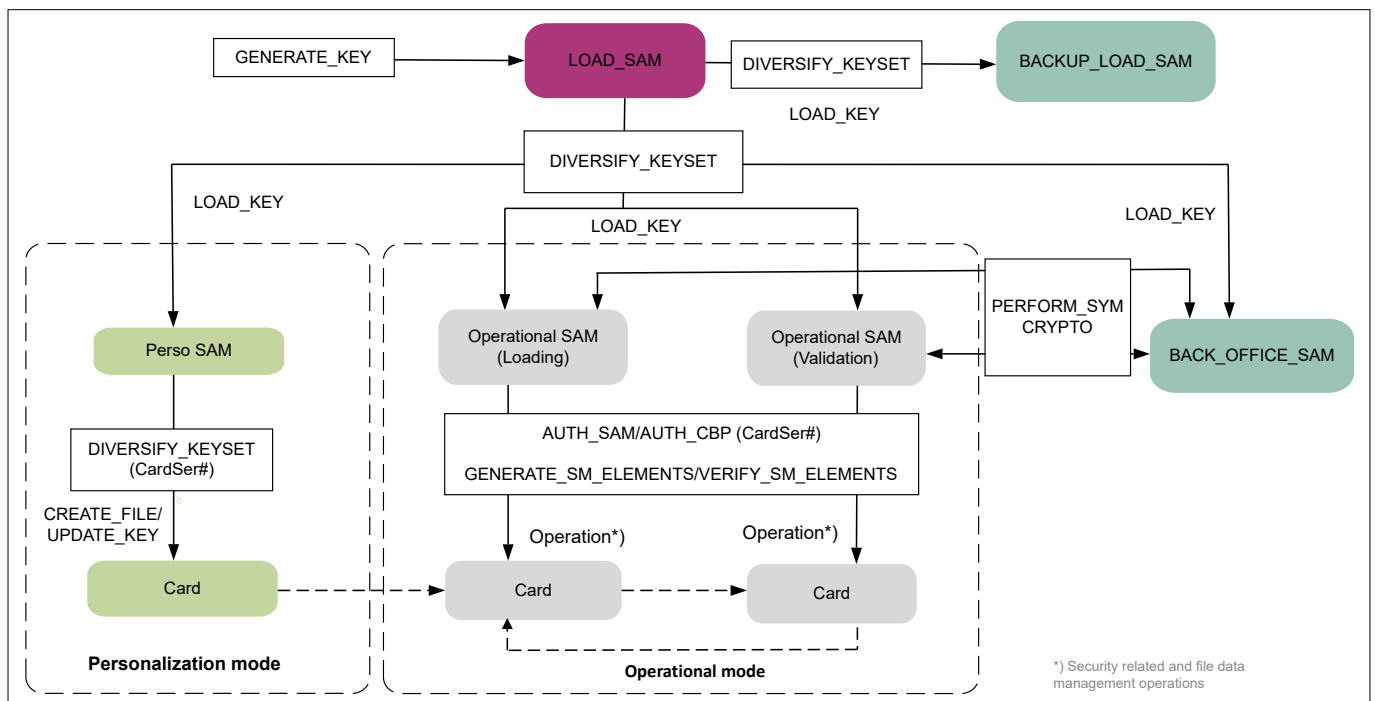
CIPURSE™SAM - SLF 9630 is connected to a terminal via ISO/IEC 7816-3 [8]. The application-specific terminal may be either connected to a host system (online terminal) or work stand-alone (offline terminal).

The CIPURSE™SAM can be used in an environment, where both CIPURSE™ and NRG™ cards are used.

## 1 Introduction

CIPURSE™SAM supports 3 modes:

- **Administration mode:** CIPURSE™SAM can be used as a LOAD\_SAM (Master SAM) to generate keys and to personalize other client CIPURSE™SAMs by issuing DIVERSIFY\_KEYSET and LOAD\_KEY commands
  - A back up of the LOAD\_SAM can be performed by issuing DIVERSIFY\_KEYSET and LOAD\_KEY commands to export the keys on LOAD\_SAM without diversification
  - The BACK\_OFFICE\_SAM support administrative functions to verify and to decrypt transaction messages by issuing PERFORM\_SYMCRYPTO command
- **Personalization mode:** CIPURSE™SAM can be used in a personalization environment to create cryptograms conveying keys for CREATE\_FILE (ADF) and UPDATE\_KEY commands to load applications and keys into CIPURSE™ products or products with NRG™ interface
- **Operational mode:** Personalized CIPURSE™ or NRG™ cards can be operated in secure sessions with SAM as follows:
  - AUTHENTICATE\_SAM and AUTHENTICATE\_CBP commands are used to generate and verify cryptograms required to establish secured session
  - GENERATE\_SM\_ELEMENTS and VERIFY\_SM\_ELEMENTS commands are used to generate and verify cryptograms required for data exchange

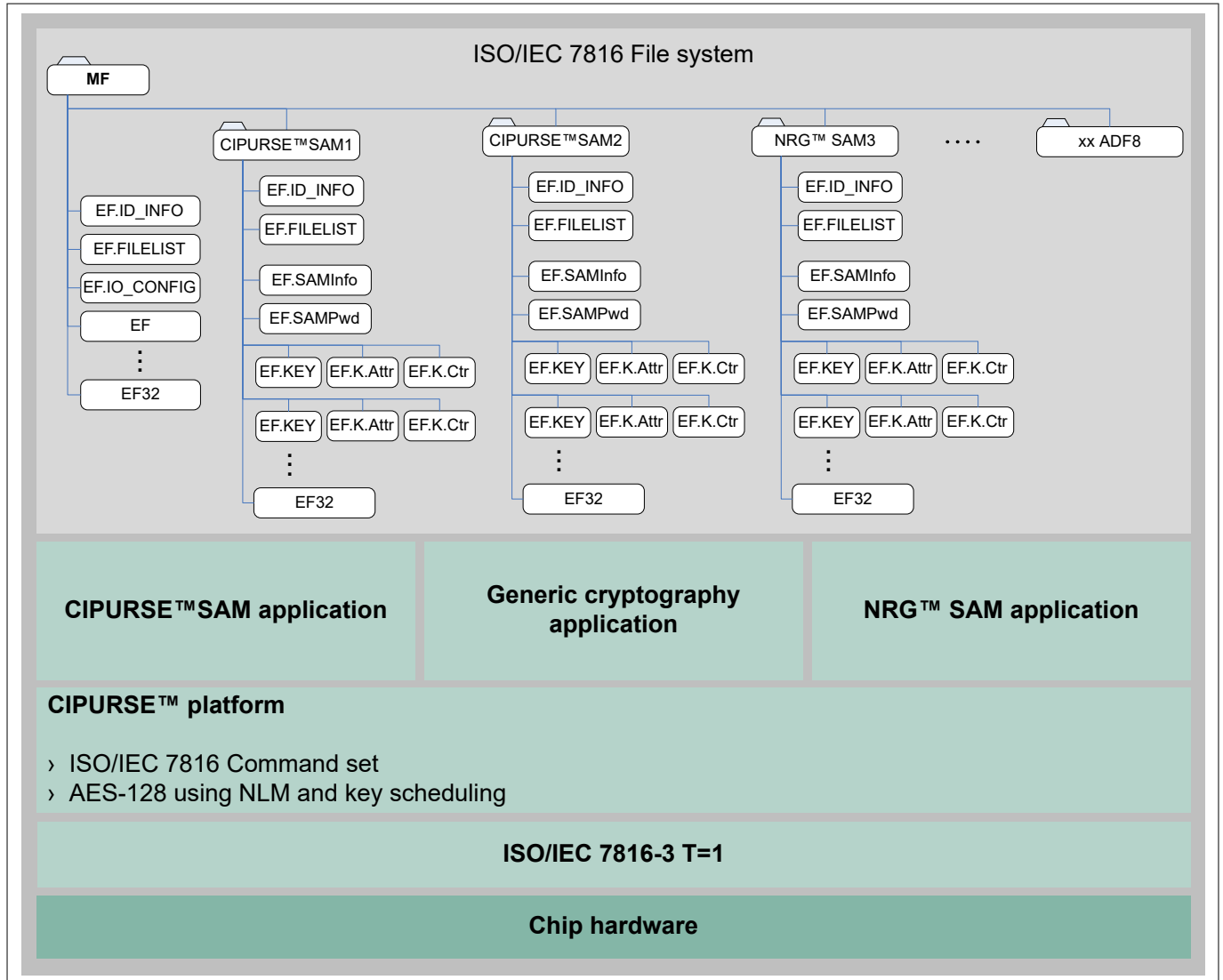


**Figure 2 SAM types and key distribution**

## 1 Introduction

### 1.2 Product overview

This product implements SAM functionality for CIPURSE™ based products and products with NRG™ interface. Further this product offers support for generic symmetric cryptographic operations.



**Figure 3 CIPURSE™SAM block diagram**

#### 1.2.1 Chip hardware

CIPURSE™SAM software is implemented on the high-performance 16-bit SLE 78 security controller with Integrity Guard and CC EAL 6+ (high).

#### 1.2.2 I/O interfaces

CIPURSE™SAM supports the following interface:

- ISO/IEC 7816-3 T=1



## 1 Introduction

### 1.2.3 CIPURSE™SAM security

CIPURSE™SAM supports:

- Up to 512 keys that are up to 128-bit long can be stored across all application dedicated files (ADFs) and key files for supporting single DES (SDES), AES-128 and 2k-Triple DES (TDES)
- Up to 80 keys that are up to 256-bit long can be stored across all key files for supporting AES-192, AES-256 and 3k-TDES
- CIPURSE™ application security:
  - Mutual authentication using 128-bit Advanced Encryption Standard (AES) keys
  - Flexible access rights and secure messaging rules can be configured for each file
  - Secure messaging, with AES-message authentication code (MAC) and AES-encryption (ENC)
  - Secure messaging mode configurable for each data exchange
  - Secure channel protocol inherently DPA and DFA offering AES-MAC, AES-ENC, and sequence integrity protection for application protocol data units (APDUs) (except NRG™ cryptography)
- SAM application specific security:
  - Access rights to execute SAM application specific command set are granted based on the SAM application specific security states as defined in [Chapter 3.2.1.2](#), [Chapter 3.2.2.3](#), and [Chapter 3.2.3.2](#)
  - Supports transitioning of all SAM application under MF to AUTHORIZED state in the following configurations of EF.SAM\_ADMIN\_CONFIG (see [Chapter 3.6.1](#)).
    - On power-up/reset
    - On VERIFY\_SAM\_PASSWORD
    - On authentication with a CIPURSE™ key under MF
- Administrative functionality:
  - 8 128-bit AES keys available for MF administration
  - MF security architecture is same as CIPURSE™ ADF security architecture

### 1.2.4 CIPURSE™SAM application

CIPURSE™SAM implements the CIPURSE™SAM application to provide the following SAM functionality for CIPURSE™ complaint products.

- Supports terminal side cryptography for CIPURSE™ proximity integrated circuit cards (PICCs) with:
  - Three-pass authentication
  - Encrypted data transfer
- Supports the following CIPURSE™ PICCs:
  - CIPURSE™Security Controller [\[7\]](#)
  - CIPURSE™4move [\[5\]](#)
  - CIPURSE™move [\[6\]](#)
- Supports multiple key diversification algorithms
- Supports generic cryptography as described in [Chapter 1.2.6](#)

### 1.2.5 NRG™ SAM application

CIPURSE™SAM implements NRG™ SAM application to provide SAM functionality for NRG™ products.

- Supports terminal side cryptography for NRG™ proximity integrated circuit cards (PICCs) with:
  - Three-pass authentication
  - Encrypted data transfer

## 1 Introduction

- Supports the following NRG™ PICCs
  - 1 KB (16 sectors with 64 blocks in total)
  - 4 KB (40 sectors with 256 blocks in total)
- Supports multiple key diversification algorithms
- Supports generic cryptography as described in [Chapter 1.2.6](#)

### 1.2.6 Generic cryptography

CIPURSE™SAM implements generic cryptography to encrypt and decrypt the arbitrary data and to verify the integrity of arbitrary data. For example, application transaction data can be securely transferred from a terminal to a back office:

- Supports symmetric cryptography – SDES, TDES (2k and 3k), and AES (128, 192, and 256 bit keys)
  - Electronic code book (ECB) – encryption, decryption
  - Cipher block chaining (CBC) – encryption, decryption
  - Compute CBC-MAC
  - Compute retail-MAC (only for 2k-TDES)
  - Verify CBC-MAC
  - Verify retail-MAC (only for 2k-TDES)
  - Padding methods as per ISO/IEC 9797-1 [\[11\]](#) (M1 and M2) and no padding
  - Generic cryptographic operations in chaining mode

### 1.2.7 ISO/IEC 7816-4 file system

CIPURSE™SAM implements a CIPURSE™ compliant file system based on ISO/IEC 7816-4 [\[9\]](#):

- Files are organized logically in the form of a two-level dedicated file (DF) tree structure
- The master file (MF) forms the root of this structure. The MF hosts some predefined elementary files (EFs), up to 32 custom EFs, and up to 8 customer-defined application dedicated files (ADFs)
- A CIPURSE™ application is represented by an ADF identified by its file identifier (FID) and DF name application identifier (AID). The ADF may host up to 32 custom EFs for application specific data
- Under each SAM, the following elementary file types are supported:
  - Binary files
  - Linear record files
  - Linear value-record files
  - Cyclic record files
  - Key files
  - Token files
- Security attributes defining the access rights and secure messaging rules may be assigned to the MF, to each ADF and to each EF
- Up to 64 bytes for proprietary security information per MF/ADF

## **1 Introduction**

### **1.3 Coding and notation conventions**

All lengths are represented in bytes, unless otherwise specified.

Each byte is represented by bits  $b[8:1]$ , where  $b[8]$  is the most significant bit and  $b[1]$  is the least significant bit, unless otherwise specified. Multi-byte fields and values are presented in big endian order, unless otherwise specified.

Binary values are specified with suffix "B" (For example,  $0101_B$ ).

Hexadecimal values are specified with suffix "H" (For example,  $B4_H$ ).

## 2 Ordering and packaging information

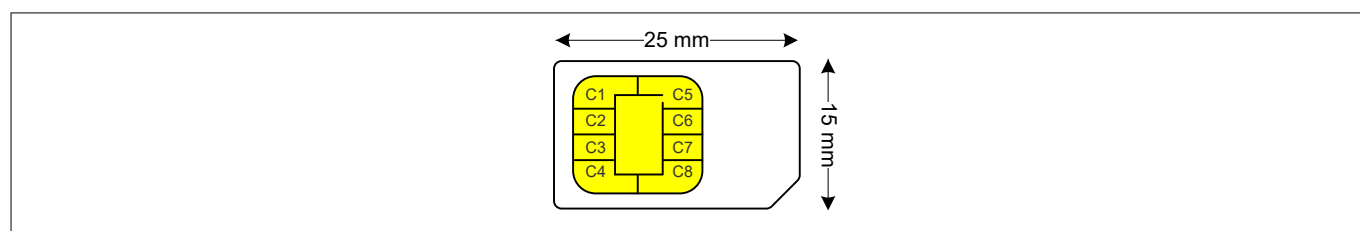
## 2 Ordering and packaging information

Package information and ordering codes are defined in [Table 1](#).

**Table 1**            **Ordering information**

| Type           | Package   |
|----------------|---|
| SLF 9630 – ID1 | ID-1/000 chip card with subscriber identity module (SIM) module |

### 2.1 ID-1/000 chip card with SIM module



**Figure 4**            **Pin configuration ID-1/000 chip card with SIM module**

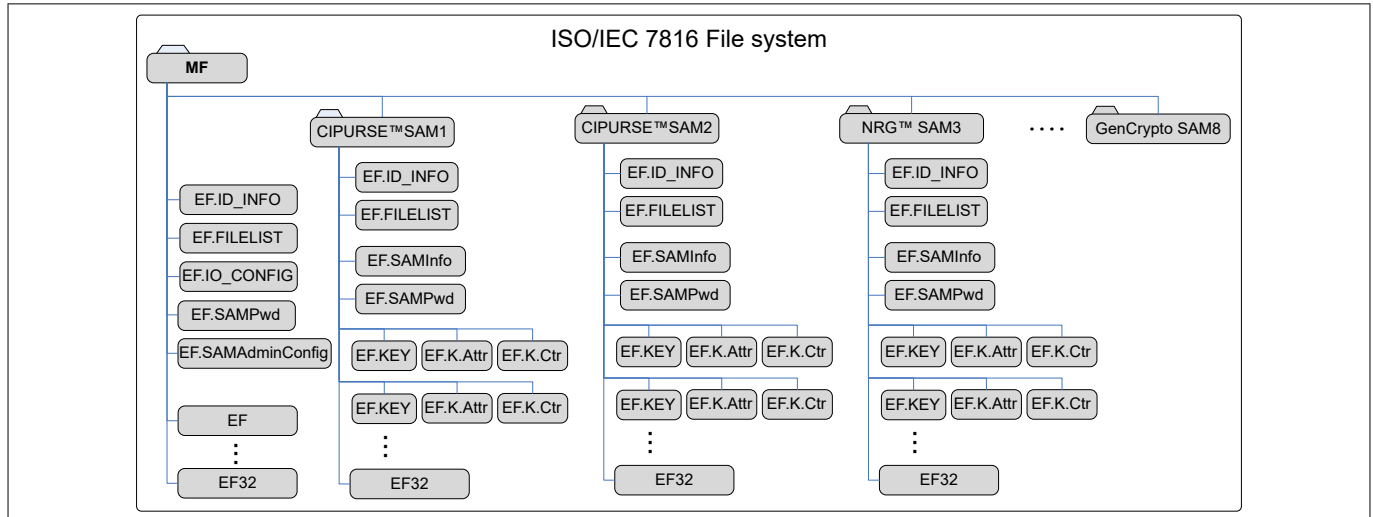
**Table 2**            **Pin definitions and functions**

| Card contact | Symbol   | Function                     |
|--------------|----------|------------------------------|
| C1           | $V_{CC}$ | Supply voltage               |
| C2           | RST      | Control input (reset signal) |
| C3           | CLK      | Clock input                  |
| C4           | -        | -                            |
| C5           | GND      | Ground                       |
| C6           | N.C.     | Not connected                |
| C7           | I/O      | Bi-directional data line     |
| C8           | -        | -                            |

### 3 CIPURSE™SAM file system

## 3 CIPURSE™SAM file system

The file system implemented by the product is compliant with the file system specified in ISO/IEC 7816-4 [9]. As an example, Figure 5 shows the structure of a file system on CIPURSE™SAM representing multiple CIPURSE™SAM applications, a NRG™ SAM application and a generic crypto SAM application.



**Figure 5** Example for CIPURSE™SAM file system structure

For application operations, the files in the file system are organized logically in form of a two-level DF tree structure. The MF forms the root of the file structure. The MF hosts 3 predefined EFs and up to 8 128-bit AES keys and it allows creation of up to 32 custom EFs and up to 8 custom ADFs.

CIPURSE™SAM application, NRG™ SAM application, and generic crypto SAM application are represented by an ADF identified by its FID and AID. The ADF hosts two predefined EFs and up to 8 128-bit AES keys and it allows creation of up to 32 EFs.

### 3.1 Master file

MF consists of keys, security attributes, and hosts custom ADFs (see Chapter 3.2) in addition to pre-defined EFs (see Chapter 3.5), SAM-specific EFs (see Chapter 3.6), and custom EFs (see Chapter 3.3).

The PICC supports implicit selection of the MF as a result of radio frequency (RF) initialization and anticollision process.

MF supports the following commands:

- CREATE\_FILE (ADF/EF)
- DELETE\_FILE (ADF/EF)
- FORMAT\_ALL
- GET\_CHALLENGE
- MUTUAL\_AUTHENTICATE
- UPDATE\_KEY
- UPDATE\_KEY\_ATTRIBUTES
- READ\_FILE\_ATTRIBUTES
- UPDATE\_FILE\_ATTRIBUTES
- SELECT (by FID/AID)
- VERIFY\_SAM\_PASSWORD

The MF supports transitioning of all SAM application to AUTHORIZED state in the following configurations of EF.SAM\_ADMIN\_CONFIG (see Chapter 3.6.1).

### 3 CIPURSE™SAM file system

- On power-up/reset
- On VERIFY\_SAM\_PASSWORD
- On authentication with a CIPURSE™ key under MF

CTM (see [Chapter 3.4.2](#)) will also be applicable for commands manipulating MF attributes including the list of child EFs.

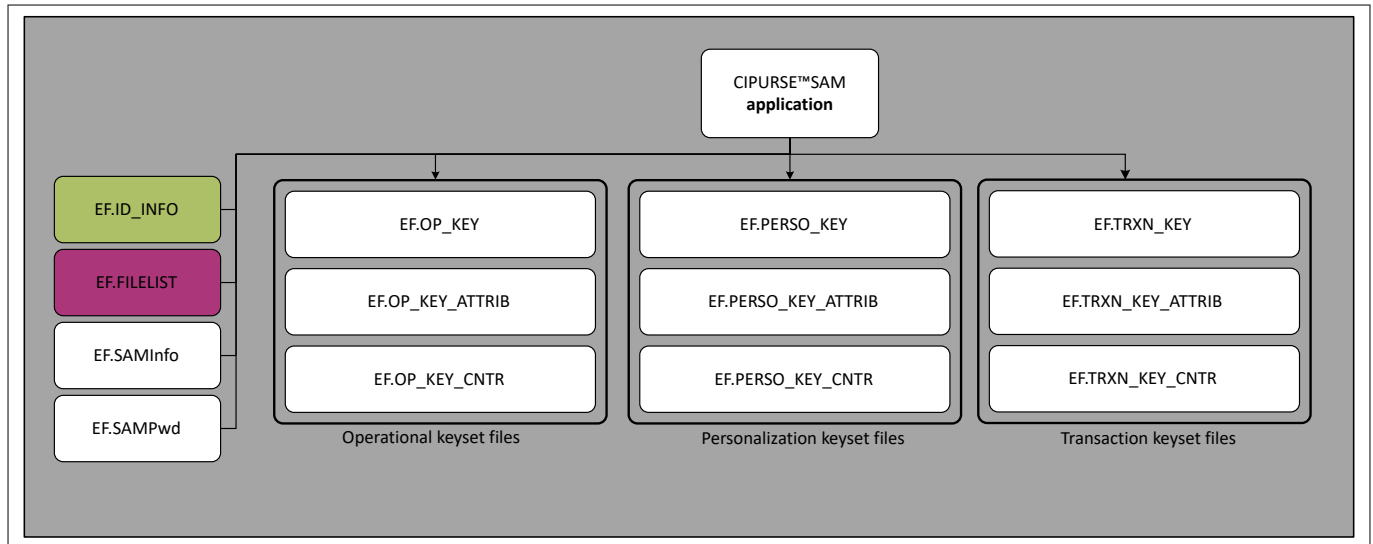
## 3.2 Application dedicated files

PICC supports six type of ADFs:

- CIPURSE™SAM ADF
- NRG™ SAM ADF
- Generic crypto SAM ADF
- CIPURSE™ ADF
- Proximity system environment (PxSE) ADF
- Near field communication (NFC) Type 4 Tag ADF

### 3.2.1 CIPURSE™SAM ADF

CIPURSE™SAM ADF hosts SAM-specific files (see [Chapter 3.6](#)) and key set elementary files (see [Chapter 3.7](#)) in addition to predefined EFs ( see [Chapter 3.5](#)). CIPURSE™SAM ADF can be created using a standard CIPURSE™ CREATE\_FILE command defined in [Chapter 5](#). CIPURSE™SAM ADF supports the additional functionalities described in CIPURSE™ ADF (see [Chapter 3.2.4](#))



**Figure 6 CIPURSE™SAM ADF**

#### 3.2.1.1 Command set

This ADF supports commands described in [Chapter 5](#). Additional command set supported by CIPURSE™SAM application is described in this chapter. [Table 3](#) lists the SAM application specific command set supported by this ADF.

**3 CIPURSE™SAM file system****Table 3 Command set supported by CIPURSE™SAM ADF**

| Command                           | Description   |
|-----------------------------------|---|
| <b>Operation commands</b>         |   |
| AUTHENTICATE_SAM                  | Starts the terminal part of mutual authentication. It calculates the command data for the following MUTUAL_AUTHENTICATE command |
| AUTHENTICATE_CBP                  | Completes the terminal part of mutual authentication  |
| END_SESSION                       | Allows to terminate a session between SAM and CIPURSE™ -based product(s) (CBP)  |
| GENERATE_SM_ELEMENTS              | Generates cryptographic relevant elements from the original APDU that are used to form SM_APDU                                  |
| READ_SESSION_KEY                  | Allows an external entity like terminal to read out the current session key   |
| VERIFY_SM_ELEMENTS                | Decrypts and verifies cryptographic relevant elements of the SM_APDU and provides them in plain text                            |
| <b>Personalization commands</b>   |   |
| DIVERSIFY_KEYSET                  | Supports key diversification and personalization of CBP   |
| GENERATE_KEY                      | Allows to create a new key in a CIPURSE™SAM   |
| LOAD_KEY                          | Supports loading of keys into the CIPURSE™SAM   |
| <b>Back office admin commands</b> |   |
| PERFORM_SYMCRYPTO                 | Provides a general MAC and ENC functionality  |
| <b>General commands</b>           |   |
| VERIFY_SAM_PASSWORD               | Allows to verify the CIPURSE™SAM password   |
| GET_KEY_INFO                      | Allows to retrieve key information from CIPURSE™SAM   |

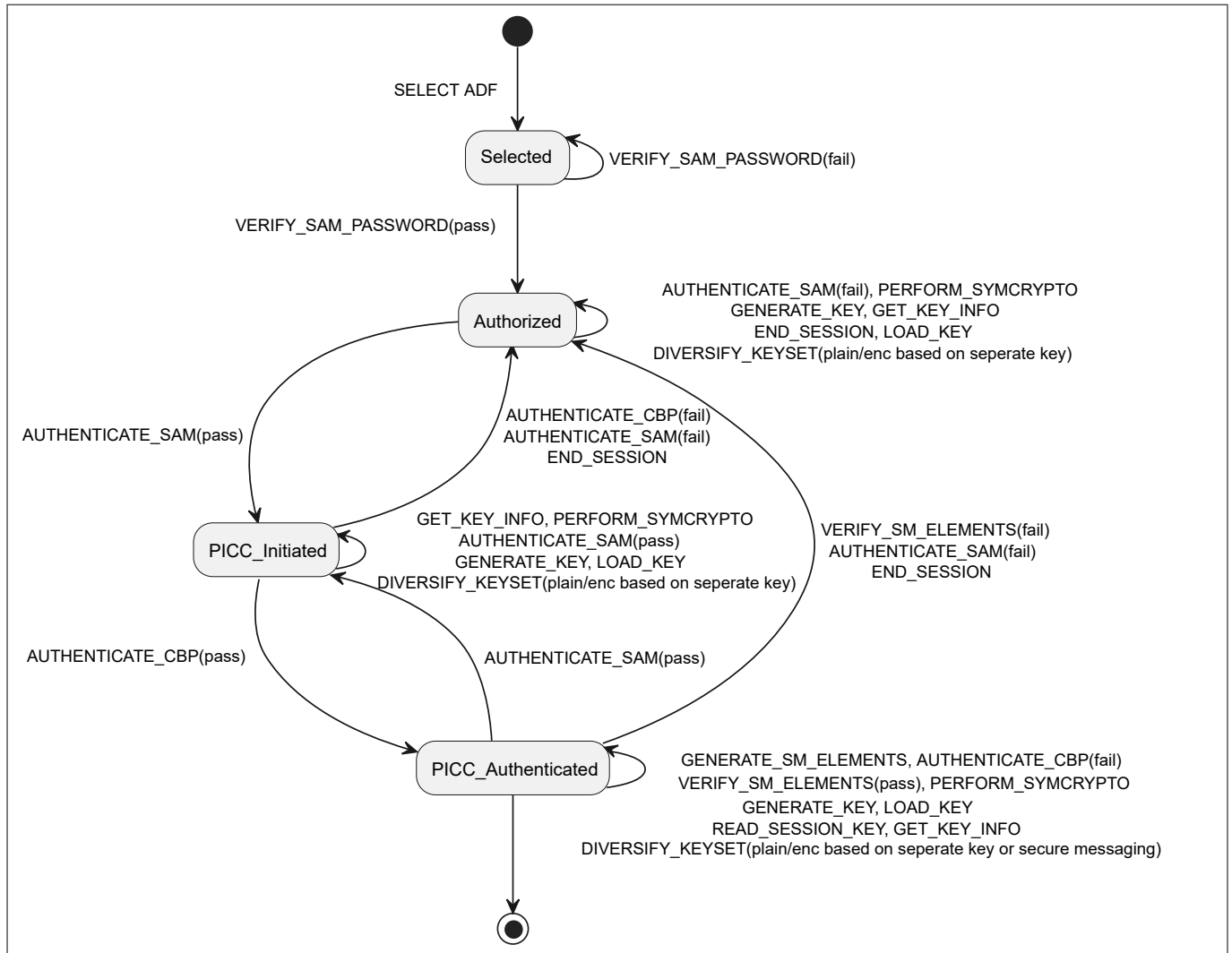
**3.2.1.2 State transitions**

The CIPURSE™SAM supports two types of security states:

- The CIPURSE™ security state as defined in [Chapter 4.2](#) for any CBP
- The CIPURSE™SAM application specific security states that control the operation of the SAM application specific command set described in this chapter and comprise:
  - Selected – The CIPURSE™SAM ADF is selected
  - Authorized – The CIPURSE™SAM password has been verified successfully
  - PICC\_Initiated – The CIPURSE™SAM has responded with a terminal cryptogram to the challenge from the CBP
  - PICC\_Authenticated – The CIPURSE™SAM has successfully verified the card cryptogram received from the CBP. The CBP is authenticated and the SAM is ready for secure messaging

[Figure 7](#) shows the CIPURSE™SAM application specific security states and the commands that change the state or are restricted to a certain state.

### 3 CIPURSE™SAM file system



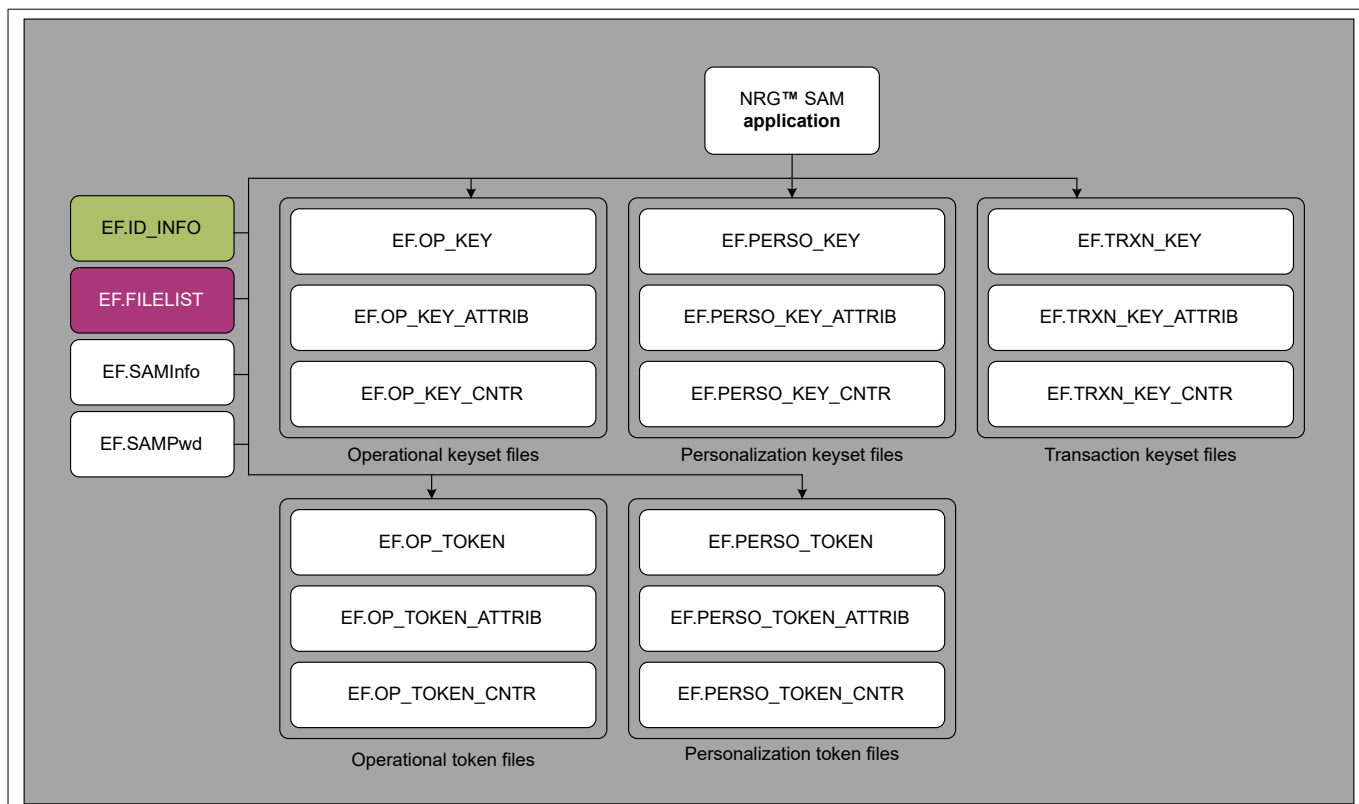
**Figure 7** CIPURSE™SAM application specific security states and the commands

#### 3.2.2 NRG™ SAM ADF

NRG™ SAM ADF hosts SAM-specific files (see [Chapter 3.6](#)) and key set elementary files (see [Chapter 3.7](#)) in addition to predefined EFs (see [Chapter 3.5](#)). NRG™ SAM ADF can be created using a standard CIPURSE™ CREATE\_FILE command defined in [Chapter 5](#). Structure of the ADF and the functionality of NRG™ SAM are similar to the CIPURSE™SAM ADF except where NRG™ specific functionality mandates deviations.



### 3 CIPURSE™SAM file system



**Figure 8 NRG™ SAM ADF**

#### 3.2.2.1 Token set elementary files

NRG™ SAM ADF supports token set elementary files in addition to key set elementary files defined in [Chapter 3.7](#).

Token set elementary files (see [Table 4](#)) under NRG™ SAM ADF must be created manually during NRG™ SAM ADF personalization using object administration command CREATE\_FILE defined in [chapter 5](#) for the proper functioning of NRG™ SAM ADF. SAM application specific commands (see [Chapter 3.2.2.2](#)) require presence of these files.

**Table 4 Token set elementary files under NRG™ SAM ADF**

| File                         | Type          | Description  |
|------------------------------|---------------|--|
| Operational token files      | Token file    | Contains NRG™ keys that are used for operational commands as described in <a href="#">Table 5</a> and cannot be read explicitly  |
| Operational token attributes | Linear record | Contains attributes of NRG™ keys residing in operation token files. These files are referenced by operational commands as described in <a href="#">Table 5</a>         |
| Operational token counters   | Value record  | Contains NRG™ key usage counters. These counters are referred by NRG™ keys. These files are referenced by operational commands as described in <a href="#">Table 5</a> |
| Personalization token files  | Token file    | Contains NRG™ keys that are used for personalization commands as described in <a href="#">Table 5</a> and cannot be read explicitly                                    |

(table continues...)

### 3 CIPURSE™SAM file system

**Table 4** (continued) **Token set elementary files under NRG™ SAM ADF**

| File                             | Type          | Description  |
|----------------------------------|---------------|--|
| Personalization token attributes | Linear record | Contains attributes of NRG™ keys residing in the personalization token files. These files are referenced by personalization commands as described in <a href="#">Table 5</a> |
| Personalization token counters   | Value record  | Contains NRG™ key usage counters. These counters are referred by NRG™ keys. These files are referenced by personalization commands as described in <a href="#">Table 5</a>   |

#### Token files

The token file holds NRG™ keys that can be used for NRG™ product authentication and personalization. These NRG™ keys are either diversified or used as such without diversification during NRG™ product authentication and personalization.

There are two sets of token files – operational and personalization files. Functionality and contents of these two sets are similar.

Token files are populated or updated using SAM commands either GENERATE\_KEY or LOAD\_KEY as described in [3.2.2.2](#) respectively. To protect confidentiality of keys stored in these files, reading the contents of these files is not allowed.

Depending on SAM commands issued (see [Table 5](#)), one of the sets is used to retrieve the direct NRG™ keys.

#### Token attribute files

Token attribute files are similar to key attributes files as described in [Chapter 3.7.2](#).

#### Token counter files

Token counter files are similar to key counters files as described in [Chapter 3.7.3](#).

### 3.2.2.2 Command set

This ADF supports the command set described in [Chapter 5](#). Additional command set supported by NRG™ SAM ADF is described in this chapter. [Table 5](#) lists the SAM application specific command set supported by this ADF.

**Table 5** **Command set supported by NRG™ SAM ADF**

| Command name                    | Description  |
|---------------------------------|--|
| <b>Personalization commands</b> |  |
| DIVERSIFY_NRG_KEYSET            | Supports key diversification and personalization of NRG™ product |
| LOAD_KEY                        | Supports loading of keys into the key and token files            |
| GENERATE_KEY                    | Allows to create a new key in a key and token file               |
| <b>Operational commands</b>     |  |
| AUTHENTICATE_NRGSAM             | Starts the terminal part of mutual authentication                |
| AUTHENTICATE_NRG                | Completes the terminal part of mutual authentication             |
| ENCRYPT_NRG                     | Used to perform NRG™ encryption                                  |
| DECRYPT_NRG                     | Used to perform NRG™ decryption                                  |
| END_SESSION                     | Allows to terminate a session between SAM and NRG™ product       |

**(table continues...)**

**3 CIPURSE™SAM file system****Table 5 (continued) Command set supported by NRG™ SAM ADF**

| Command name                                    | Description  |
|---|--|
| <b>General commands</b>                         |  |
| VERIFY_SAM_PASSWORD                             | Allows to enable the operation of SAM after device reset |
| GET_KEY_INFO                                    | Allows to retrieve key information from CIPURSE™SAM      |
| <b>Back office admin (transaction) commands</b> |  |
| PERFORM_SYMCRYPTO                               | Provides a general MAC and ENC functionality             |

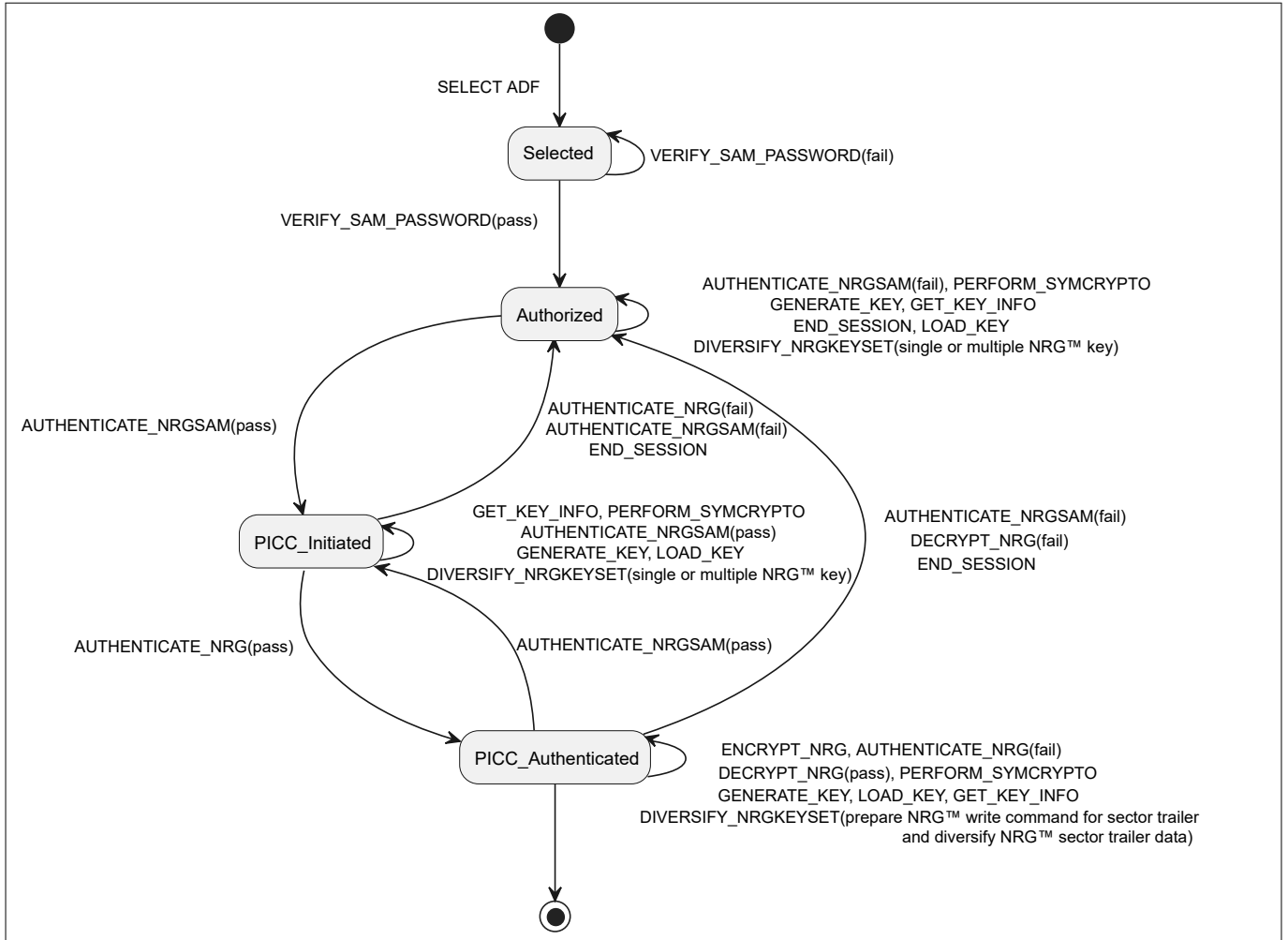
**3.2.2.3 State transitions**

In addition to the security state defined in [Chapter 4.2](#), NRG™ SAM application supports specific security states that control the operation of the SAM application specific command set described in this chapter and comprise:

- Selected – The NRG™ SAM ADF is selected
- Authorized – The NRG™ SAM password has been verified successfully
- PICC\_Initiated – The NRG™ SAM has responded with a terminal cryptogram to the challenge from the NRG™ product
- PICC\_Authenticated – The NRG™ SAM has successfully verified the card cryptogram received from the NRG™ product. The NRG™ product is authenticated and the SAM is ready for secure messaging

[Figure 9](#) shows the NRG™ SAM application specific security states and the commands that change the state or restricted to a certain state

### 3 CIPURSE™ SAM file system



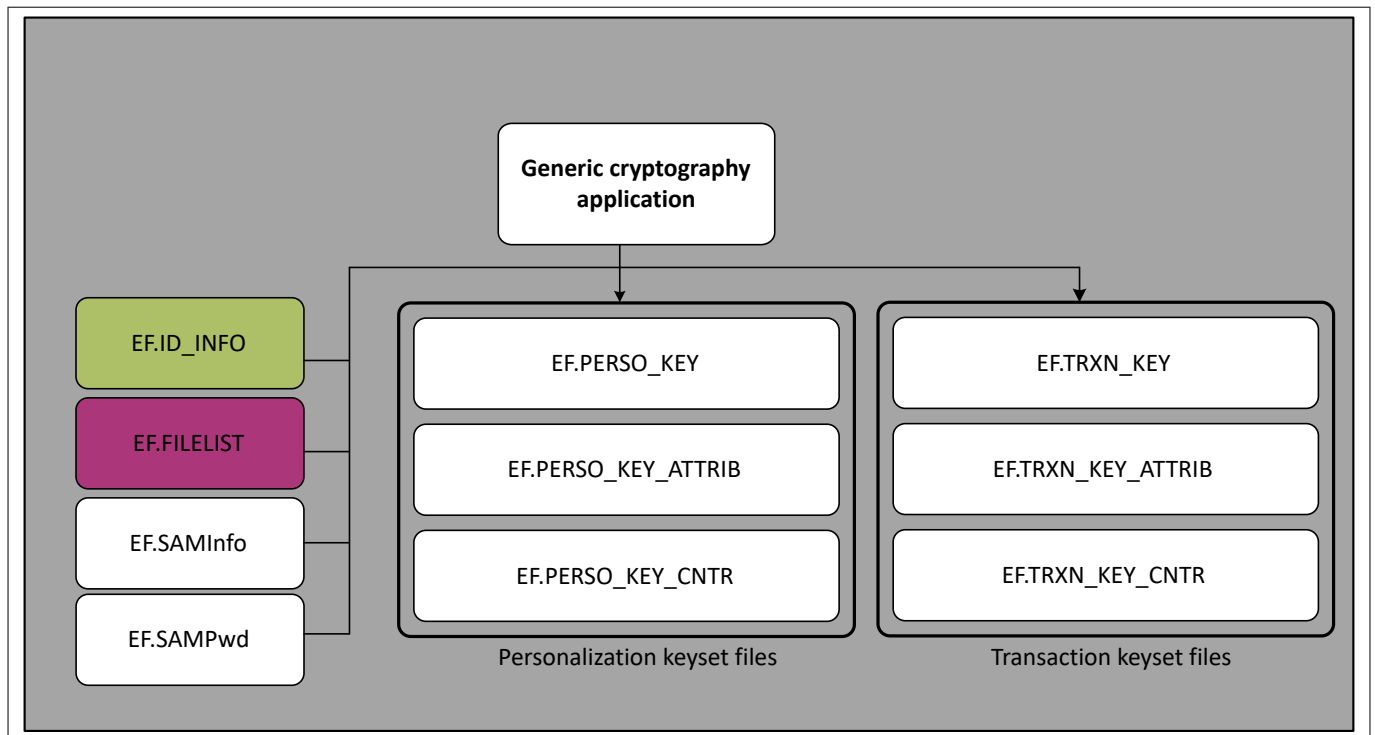
**Figure 9** **NRG™ SAM application specific security states and the commands**

### 3.2.3 Generic crypto SAM ADF

Generic crypto SAM ADF hosts SAM-specific files (see [Chapter 3.6](#)) and key set elementary files (see [Chapter 3.7](#)) in addition to predefined EFs (see [Chapter 3.5](#)). Generic crypto SAM can be created using a standard CIPURSE™ CREATE\_FILE command defined in [Chapter 5](#).

Structure of the ADF and the functionality of generic crypto SAM ADF are similar to the CIPURSE™SAM ADF but the functionality is restricted to key loading and PERFORM\_SYM\_CRYPT0

### 3 CIPURSE™SAM file system



**Figure 10**      **Generic crypto SAM ADF**

#### 3.2.3.1 Command set

This ADF supports commands described in [Chapter 5](#). Additional command set supported by generic crypto ADF is described in this chapter. [Table 6](#) lists the SAM specific command set supported by this ADF.

**Table 6**      **Command set supported by generic crypto ADF**

| Command name                                    | Description  |
|---|--|
| <b>Personalization commands</b>                 |  |
| LOAD_KEY  | Supports loading of keys into the SAM key files          |
| <b>Back office admin (transaction) commands</b> |  |
| PERFORM_SYMCRYPTO                               | Performs symmetric crypto computations                   |
| <b>General commands</b>                         |  |
| VERIFY_SAM_PASSWORD                             | Allows to enable the operation of SAM after device reset |
| GET_KEY_INFO                                    | Allows to retrieve key information from SAM              |

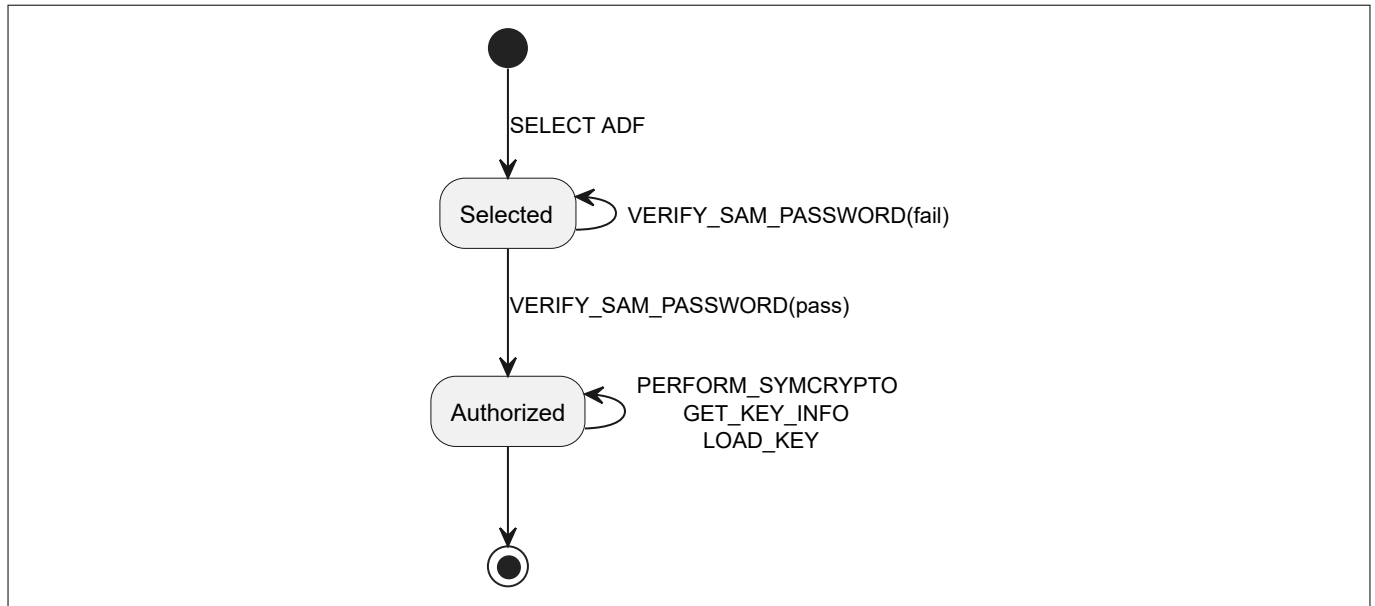
#### 3.2.3.2 State transitions

In addition to the security state defined in [Chapter 4.2](#), generic crypto SAM application supports specific security states that control the operation of the SAM application specific command set described in this chapter and comprise:

- Selected – The generic crypto SAM ADF is selected
- Authorized – The generic crypto SAM password has been verified successfully

[Figure 11](#) shows the generic crypto SAM application specific security states and the commands that change the state or restricted to a certain state.

### 3 CIPURSE™ SAM file system



**Figure 11** Generic crypto SAM application specific security states and the commands

#### 3.2.4 CIPURSE™ ADF

CIPURSE™ ADF consists of keys and security attributes, and it hosts the EFs with application-specific data as described in [Chapter 3.3](#) in addition to pre-defined EFs (see [Chapter 3.5](#)).

CIPURSE™ ADF can be secured or unsecured based on the security attributes defining access conditions and secure messaging, and key values as described in [Chapter 4](#).

CIPURSE™ ADF supports two operational states:

- ACTIVATED
- DEACTIVATED

Command ACTIVATE\_FILE (ADF) activates the referenced CIPURSE™ ADF (and inherently all its child EFs) from its deactivated state.

An activated CIPURSE™ ADF supports the following commands:

- CREATE\_FILE (EF)
- DELETE\_FILE (this ADF/EF)
- GET\_CHALLENGE
- MUTUAL\_AUTHENTICATE
- UPDATE\_KEY
- UPDATE\_KEY\_ATTRIBUTES
- READ\_FILE\_ATTRIBUTES
- UPDATE\_FILE\_ATTRIBUTES
- SELECT (by FID/AID)
- DEACTIVATE\_FILE (ADF)

Command DEACTIVATE\_FILE (ADF) deactivates the activated CIPURSE™ ADF (and implicitly all its child EFs).

A deactivated CIPURSE™ ADF supports the following operational commands:

- SELECT (by FID/AID)
- ACTIVATE\_FILE (subject to access condition)
- GET\_CHALLENGE
- MUTUAL\_AUTHENTICATE

### 3 CIPURSE™SAM file system

CIPURSE™ ADF supports a consistent transaction mechanism (CTM) (see [Chapter 3.4.2](#)); EF creation, new key values, key attributes, or file attributes become effective after successful execution of `PERFORM_TRANSACTION`.

#### 3.2.5 PxSE ADF

PxSE application registers the segment specific CIPURSE™ applications such as dedicated to transport applications, event ticketing applications, and facility access applications.

PxSE application supports the `SELECT` (by AID) command only.

The response to `SELECT PxSE` provides the list of AIDs corresponding to its registered CIPURSE™ applications in `ACTIVATED` state and one of its registered applications might be implicitly selected.

#### 3.2.6 NFC Type 4 Tag ADF

The product supports an NFC Type 4 Tag ADF [\[12\]](#) with the same functionality as a CIPURSE™ ADF with the following exceptions during ADF creation:

- `EF.ID_INFO` is not automatically created
- `EF.FILELIST` is not automatically created

The creation of EF with the same FID as `EF.ID_INFO` or `EF.FILELIST` is not allowed.

### 3.3 Supported elementary file types

EFs are used to store data and are identified by its FID or by short file identifier (SFID).

The file system supports the following generic CIPURSE™ elementary file types:

- Binary file
- Linear record file
- Cyclic record file
- Linear value-record file

Every elementary file type is available in the following two flavors:

- Version not supporting CTM
- Version supporting CTM

EFs can be secured or unsecured based on the security attributes as described in [Chapter 4](#).

The commands `READ_FILE_ATTRIBUTES` and `UPDATE_FILE_ATTRIBUTES` can be used to read and update the EF attributes.

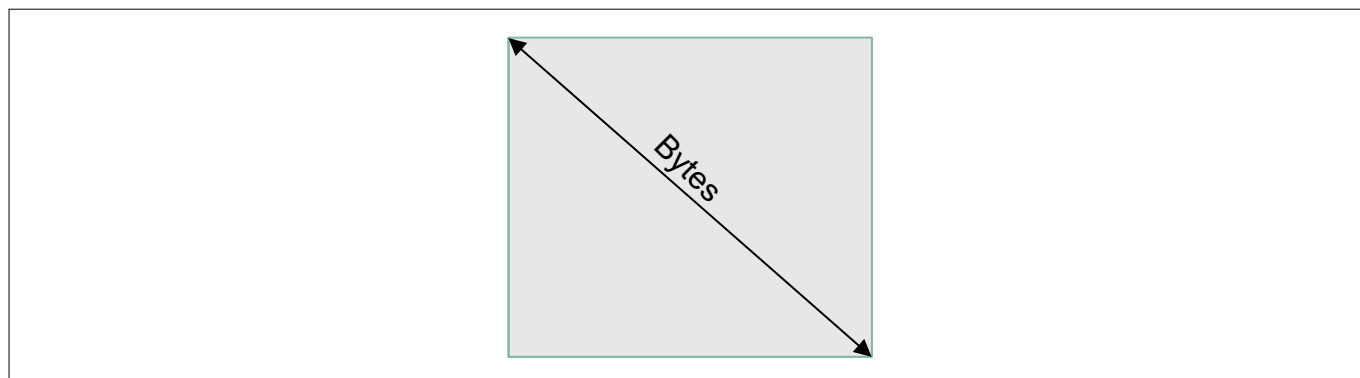
#### Binary file:

A binary file represents a series of sequential bytes without specific inner structure. Size of the file is defined at file creation.

On file creation, the data are created and initialized with zeros. The commands `READ_BINARY` and `UPDATE_BINARY` can be used to read and update the records.

The maximum size of the binary file is restricted to 32768 bytes.

### 3 CIPURSE™SAM file system



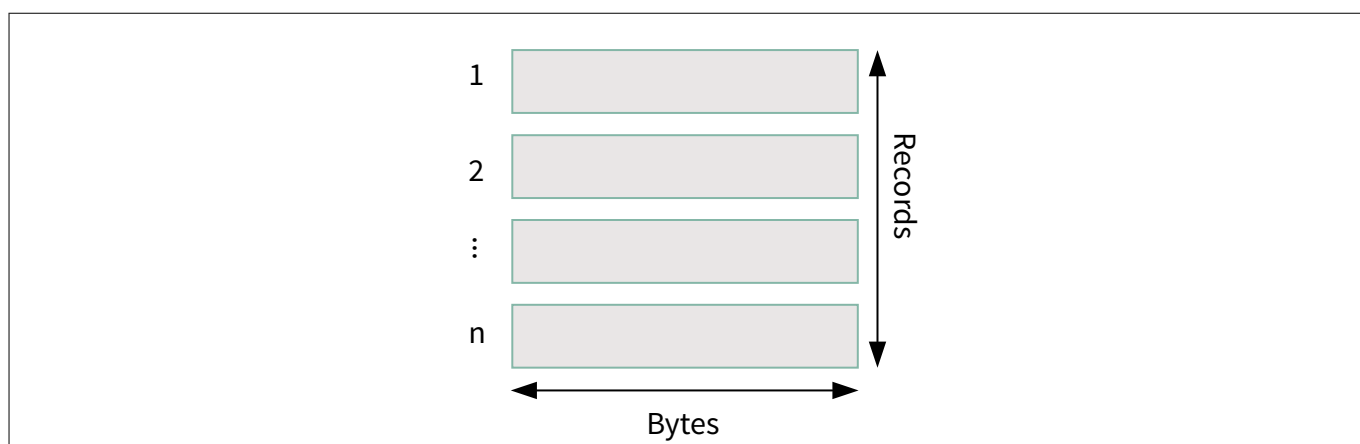
**Figure 12** Binary file

#### Linear record file:

A linear record file represents a linear sequence of records of same size. Size and number of records are defined at file creation.

On file creation, all records are created and initialized with zeros. The commands READ\_RECORD and UPDATE\_RECORD can be used to read and update the records.

The maximum size of a record is 228 bytes. A file can contain maximum of 254 records. The maximum size of the linear record file (size of record x number of records) is restricted to 32767 bytes.



**Figure 13** Linear record file

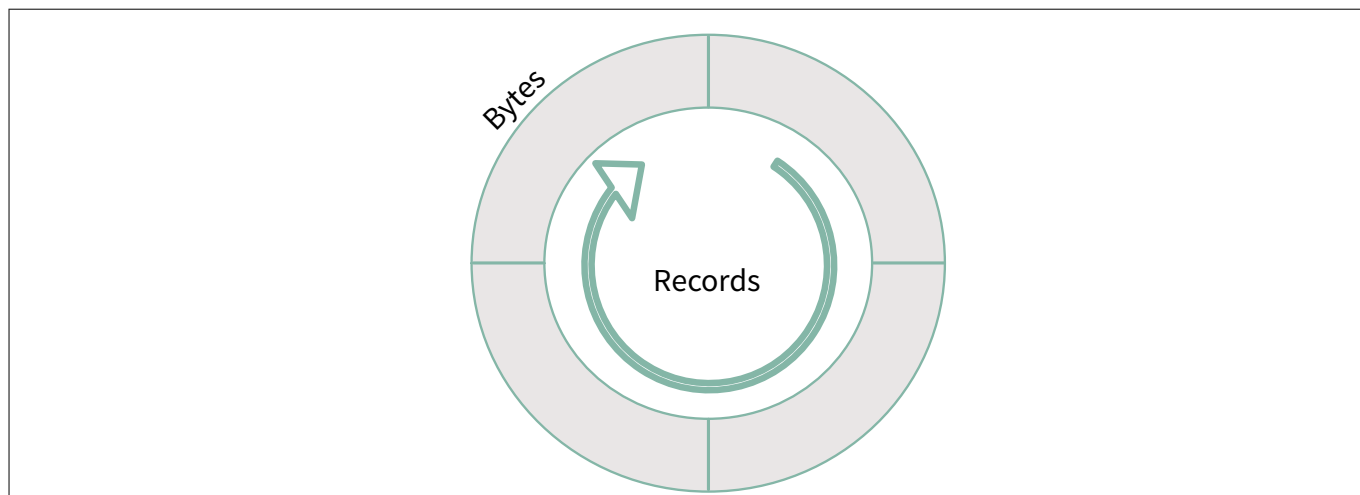
#### Cyclic record file:

A cyclic record file represents a cyclic sequence of records, where the oldest data will be overwritten, in case the list is full. The size and number of the records are defined at file creation.

On file creation, only the memory is reserved. No further initialization is performed. Each record must be created and initialized using command APPEND\_RECORD before it can be read or updated. The commands READ\_RECORD and UPDATE\_RECORD can be used to read and update the records.

The maximum size of a record is 228 bytes. A file can contain maximum of 254 records. The maximum size of the cyclic record file (size of record x number of records) is restricted to 32767 bytes.





**Figure 14**      **Cyclic record file**

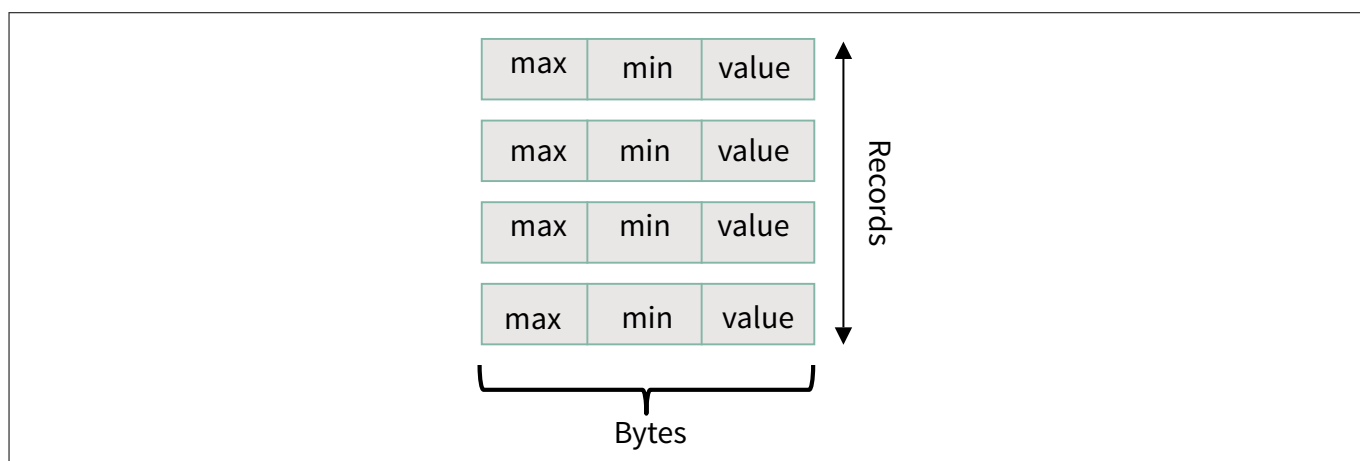
#### **Value-record file:**

A value-record file represents a linear sequence of records of 12 bytes. Each value-record contains maximum and minimum limit and a counter value field. Number of records is defined at file creation.

On file creation, all records are created and initialized with 0000 0000<sub>H</sub> (counter value), 7FFF FFFF<sub>H</sub> (maximum limit), and 8000 0000<sub>H</sub> (minimum limit). The commands READ\_RECORD and UPDATE\_RECORD can be used to read and update the records. The commands READ\_VALUE, INCREASE\_VALUE, and DECREASE\_VALUE can be used to read and manipulate the counter values. If modification of the value violates the limits, the command will be rejected.

The commands LIMITED\_INCREASE\_VALUE and LIMITED\_DECREASE\_VALUE can be used to offer a refund functionality that is limited to the number of tokens decreased/increased in last transaction. The value record remembers the last increase or decrease operation and enables refund up to the value that existed before increase or decrease. The commands UPDATE\_RECORD, LIMITED\_INCREASE\_VALUE, and LIMITED\_DECREASE\_VALUE will reset the information granting limited refund functionality.

A file can contain maximum of 254 records.



**Figure 15**      **Value-record file**

### **3.4 Consistent data update mechanisms**

CIPURSE™SAM supports 'command level atomicity' and 'consistent transaction mechanism' to avoid inconsistent data update.

### 3 CIPURSE™SAM file system

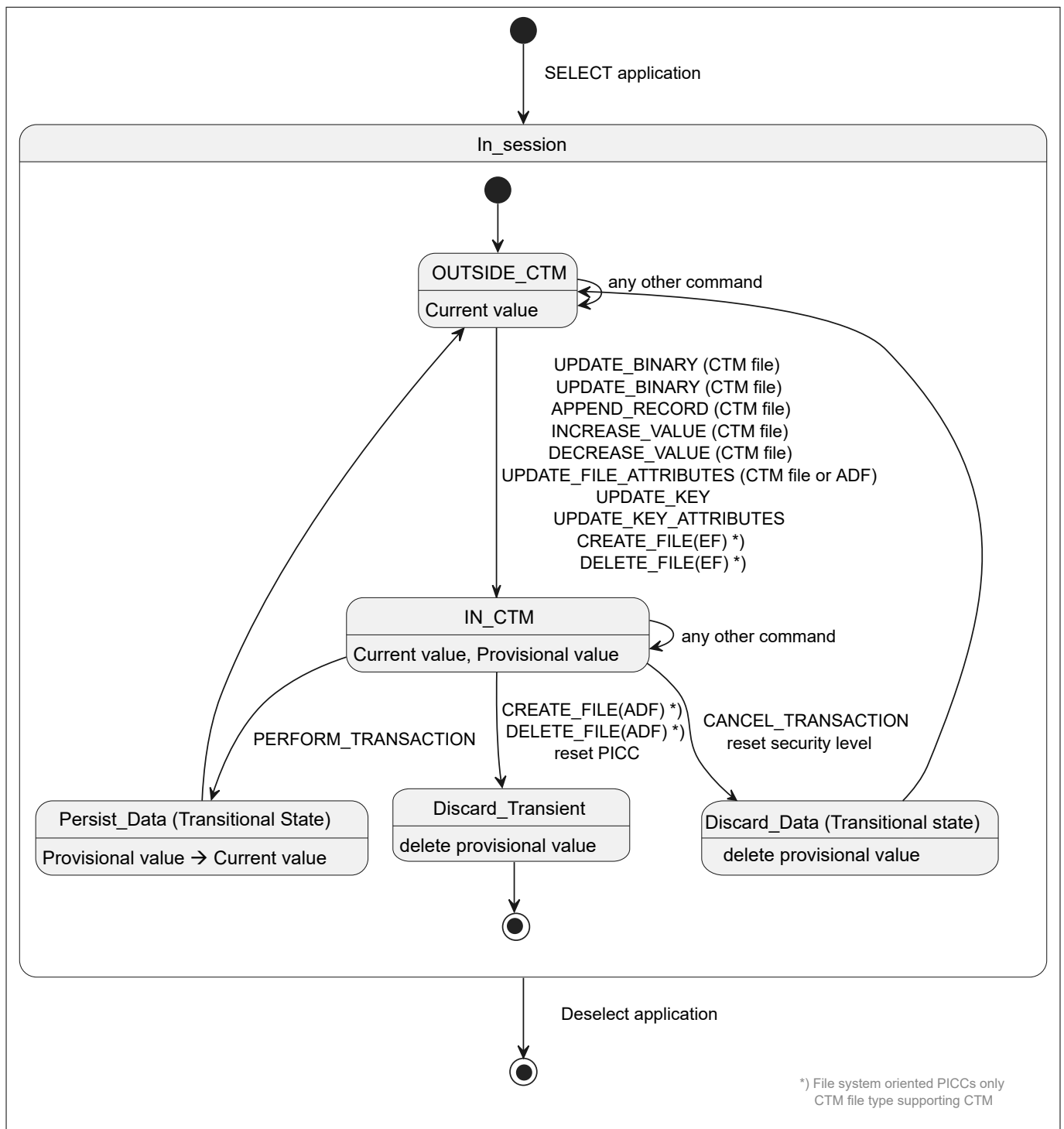
#### 3.4.1 Command level atomicity

Either all data updates on the PICC are successful during the execution of a single command or no updates at all.

#### 3.4.2 Consistent transaction mechanism

The CTM provides consistent data updates and protection from tearing, that is all updates on one or multiple files by sequence of commands are committed "at once".

This mechanism is implemented only on files supporting CTM.



**Figure 16** CTM states diagram

### 3 CIPURSE™SAM file system

An application session starts after the selection in OUTSIDE\_CTM state with no consistent transaction is in progress.

Command updating data or attributes of an EF supporting CTM or updating ADF attributes results in transition to IN\_CTM state and manipulated data are stored as provisional values.

IN\_CTM state is left in the following cases:

- Command PERFORM\_TRANSACTION will persist all the provisional values and make them as current values before reaching the OUTSIDE\_CTM state
- Command CANCEL\_TRANSACTION or by resetting the security level to none will discard all the provisional values and retain the current values before reaching the OUTSIDE\_CTM state
- Command CREATE\_ADF (on MF level), deleting the current application, or resetting the PICC will change the state to Discard\_Transient, delete the provisional values, and terminate the application session

## 3.5 Predefined elementary files

Predefined EFs under the MF are present at delivery state, need not be created and cannot be deleted. The security attributes can be modified.

Predefined EFs under the ADF are implicitly created during ADF creation. Deletion is only possible by deleting the parent ADF. The security attributes can be modified.

**Table 7 List of predefined EFs**

| File name    | File type | CTM support | Description  |
|--------------|-----------|-------------|--|
| EF.FILELIST  | Binary    | No          | Read-only file under the MF/ADF providing list of files under the MF/ADF   |
| EF.ID_INFO   | Binary    | No          | Read-only file under the MF/ADF providing information about the CIPURSE™ version and features along with the manufacturer specific information |
| EF.IO_CONFIG | Binary    | No          | File under the MF provides information about the interface configuration parameter and answer to reset (ATR) content                           |

### 3.5.1 EF.FILELIST

The EF.FILELIST (under the MF/ADF) is read-only file and provides a 4-byte file information for each file present under the MF/ADF. The size of EF.FILELIST varies depending on the number of files currently present in the MF/ADF.

**Table 8 Structure and contents of EF.FILELIST**

| EF.FILELIST | Type: Binary, read-only |               |                                     |
|-------------|-------------------------|---------------|-------------------------------------|
| Content     |                         | Length [byte] | Description                         |
| File #1     | FID                     | 2             | File identifier of File #1          |
|             | SFID                    | 1             | Short file identifier of File #1    |
|             | FD                      | 1             | File descriptor byte of File #1     |
|             |                         |               |                                     |
|             |                         | Var.          | Further FID    SFID    FD fields... |
|             |                         |               |                                     |
| File #n     | FID                     | 2             | File identifier of File #n          |

(table continues...)

### 3 CIPURSE™SAM file system

**Table 8 (continued) Structure and contents of EF.FILELIST**

| EF.FILELIST | Type: Binary, read-only |               |                                  |
|-------------|-------------------------|---------------|----------------------------------|
| Content     |                         | Length [byte] | Description                      |
|             | SFID                    | 1             | Short file identifier of File #n |
|             | FD                      | 1             | File descriptor byte of File #n  |

#### 3.5.2 EF.ID\_INFO

The predefined file EF.ID\_INFO is a read-only file and is available under the MF and each ADF. EF.ID\_INFO files are identical across all applications in one PICC.

The structure and content of the EF.ID\_INFO file are as described [Table 9](#).

**Table 9 Structure and content of EF.ID\_INFO**

| EF.ID_INFO | Type: Binary, Read-only   |
|------------|---|
| Offset     | Description   |
| 0-7        | CIPURSE™ version along with features (CTM and file system oriented personalization) are supported   |
| 8          | Integrated circuit manufacturer, as per ISO/IEC 7816-6 <a href="#">[10]</a> : <ul style="list-style-type: none"> <li>05<sub>H</sub>: Infineon Technologies</li> </ul> |
| 9-23       | Chip identification data  |
| 24-33      | Reserved for further manufacturer information   |
| 34-36      | Software version  |
| 37-39      | Product identifier  |

#### 3.5.3 EF.IO\_CONFIG

The EF.IO\_CONFIG file under the MF describes interface configuration parameters. This file allows the configuration of the interface parameters and the ATR content.

The structure and content of EF.IO\_CONFIG file are described in [Table 10](#).

**Table 10 Structure and content of EF.IO\_CONFIG file**

| Offset | Description  |
|--------|--|
| 0-35   | Reserved for future use (RFU)  |
| 36-47  | Configuration data for T=1 communication interfaces (block waiting time index and stop bits) |
| 48-81  | Configuration data for ATR specific and historical bytes                                     |

### 3.6 SAM-specific elementary files

SAM-specific elementary files (see [Table 11](#)) under MF and each SAM ADF must be created manually during SAM personalization using object administration command CREATE\_FILE defined in [Chapter 5](#).

These files should be populated and configured using file data management and file attribute management commands defined in [Chapter 5](#).

### 3 CIPURSE™SAM file system

**Table 11** List of SAM-specific elementary files

| SAM-specific EFs   | File type | Description  |
|--------------------|-----------|--|
| EF.SAMInfo         | Binary    | Must be manually created under each SAM ADF during personalization. Contains SAM configuration information that controls execution of SAM commands |
| EF.SAMPwd          | Binary    | Must be manually created under MF and each SAM ADF during personalization. Contains the password that controls SAM authorization                   |
| EF.SAM_CNTR_WARNG  | Binary    | May be manually created under each SAM ADF during personalization. Contains settings for key counters warning limits                               |
| EF.SAM_ADMN_CONFIG | Binary    | May be manually created under MF during personalization. Contains global SAM configuration parameters that control the behavior of the product     |

If the above listed mandatory SAM-specific files are not present under respective SAM ADF, then the SAM application specific commands are not processed.

If the EF.SAM\_CNTR\_WARNG file is present under SAM ADF, then the warning status for the respective counter is applicable, otherwise it is ignored.

#### 3.6.1 EF.SAM\_ADMIN\_CONFIG

The EF.SAM\_ADMIN\_CONFIG file under the MF describes global SAM configuration parameters that govern the behavior of the product.

The structure and content of this file are defined in [Table 12](#).

**Table 12** Structure and contents of EF.SAM\_ADMIN\_CONFIG

| Offset | Description  |
|--------|--|
| 0-1    | Tag and length of configuration parameters   |
| 2      | Configuration of the behavior of transitioning SAM applications to AUTHORIZED state: <ul style="list-style-type: none"> <li>On power-up/reset</li> <li>On VERIFY_SAM_PASSWORD</li> <li>On authentication with a CIPURSE™ key under MF</li> </ul> |
| 3      | MF key number used in CIPURSE™ authentication to transition all SAM applications to AUTHORIZED state   |
| 4      | Enable or disable the plain AES key support in AUTHENTICATE_SAM command, weak key check for Data Encryption Standard (DES) keys, and key usage byte validation for PERFORM_SYMCRYPTO   |
| 5      | Enable or disable crypto algorithms and modes that are supported by PERFORM_SYMCRYPTO  |
| 6-10   | RFU. Should be set to zeros  |

#### 3.6.2 EF.SAMInfo

The EF.SAMInfo under SAM ADF provides SAM ADF identifier information and defines SAM ADF behavior during processing of SAM application specific commands (see [Table 3](#), [Table 5](#), and [Table 6](#)).

The "SAM use" byte in the EF.SAMInfo file defines the functionality that the CIPURSE™SAM supports.

### 3 CIPURSE™SAM file system

The SAM ADF can be configured by updating the "SAM use" byte in the EF.SAMInfo file for one of the following uses:

- PERSO SAM: personalization – supports personalization of CIPURSE™ or NRG™ products
  - STANDARD SAM: standard end-user product – supports standard functions in a terminal to support CIPURSE™ or NRG™ product applications
  - LOAD SAM: key loading – supports functions to load keys onto CIPURSE™SAMs
  - BACK SAM: back office admin – supports functions to verify and decrypt transaction messages
  - GENERAL SAM: no restriction on operation. This allows for simple schemes to be easily configured
- As the EF.SAMInfo contents are used during processing of SAM application specific commands, the EF.SAMInfo is required to be created and populated within the ADF to allow execution of the of SAM application specific commands.

#### 3.6.3 EF.SAMPwd

The EF.SAMPwd file under the MF/SAM ADF holds the password to authorize SAM at MF/ADF level. The password issued in VERIFY\_SAM\_PASSWORD command is verified against the password residing in this file. When this file is present at MF level, issuing VERIFY\_SAM\_PASSWORD command at the MF level transitions all SAM applications residing under MF to the AUTHORIZED state, depending on the configuration of EF.SAM\_ADMIN\_CONFIG file (see [Chapter 3.6.1](#)).

Contents of this binary file are:

- Password
- Current retry counter value
- Maximum retry counter value

Verification of SAM password is implemented to withstand simple power analysis (SPA) attacks.

#### 3.6.4 EF.SAM\_CNTR\_WARNG

The EF.SAM\_CNTR\_WARNG under SAM ADF is an CIPURSE™SAM specific configuration file that holds configuration information to set warning threshold for key counter usage. During SAM use, if this file is present and key counter falls below a threshold value set in respective field, then warning status word is issued in the response of the command that is using the respective key.

This warning status word implies the command itself is successful and indicates that key counter is below threshold value.

The warning threshold values are supported for the following key counters:

- Personalization key counters
- Operational key counters
- Transaction key counters
- Personalization token counters
- Operational token counters

### 3.7 Key set elementary files

Key set elementary files (see [Table 13](#)) under each SAM ADF must be created manually during SAM ADF personalization using object administration command CREATE\_FILE defined in [Chapter 5](#) for the proper functioning of CIPURSE™SAM. SAM application specific commands (see [Table 3](#), [Table 5](#), and [Table 6](#)) require presence of these files.

### 3 CIPURSE™SAM file system

**Table 13 Key set elementary files under SAM ADF**

| File                           | Type          | Description   |
|--------------------------------|---------------|---|
| Operational key files          | Key file      | Contains SAM keys that are used for operational commands and cannot be read explicitly  |
| Operational key attributes     | Linear record | Contains attributes of operational keys defined in the corresponding key file. These files are referenced by operational commands         |
| Operational key counters       | Value record  | Contains key usage counters. These counters are referred by operational keys. These files are referenced by operational commands          |
| Personalization key files      | Key file      | Contains SAM keys that are used for personalization commands as and cannot be read explicitly   |
| Personalization key attributes | Linear record | Contains attributes of personalization keys defined in the corresponding key file. These files are referenced by personalization commands |
| Personalization key counters   | Value record  | Contains key usage counters. These counters are referred by personalization keys. These files are referenced by personalization commands  |
| Transaction key files          | Key file      | Contains SAM keys that are used for transaction commands and cannot be read explicitly  |
| Transaction key attributes     | Linear record | Contains attributes of transaction keys defined in the corresponding key file. These files are referenced by back office admin commands   |
| Transaction key counters       | Value record  | Contains key usage counters. These counters are referred by transaction keys. These files are referenced by back office admin commands    |

The commands which are involving key file functionality are described in respective SAM application specific commands ([Table 3](#), [Table 5](#), and [Table 6](#)):

- Operational key files, their attributes, and counters are referenced by operational commands
- Personalization key files, their attributes, and counters are referenced by personalization commands
- Transaction key files, their attributes, and counters are referenced by transaction commands

#### 3.7.1 Key files

Key files hold keys. Keys are either diversified or used as such without diversification during personalization of PICC and operational phase of PICC.

There are three sets of key files – operational, personalization, and transaction key files. Functionality and contents of these three sets are similar.

Key files are populated or updated using SAM commands either GENERATE\_KEY or LOAD\_KEY. To protect confidentiality of keys stored in these files, reading the contents of these files is not allowed.

The key file is able to store the various key types that are listed below:

- DES Key
- 2k-TDES key
- 3k-TDES key
- AES-128 key

### 3 CIPURSE™SAM file system

- AES-192 key
- AES-256 key

#### 3.7.2 Key attribute files

Key attributes file is a linear record file that holds key attributes. Key attributes define the behavior of the keys residing in key files with the help of "key use" byte.

There are three sets of key attributes files – operational, personalization, and transaction key attributes files. Functionality and contents of these three sets are identical.

These files should be populated and configured using file data management and file attribute management commands defined in [Chapter 5](#).

When a key is referenced to be used for an operation by a CIPURSE™SAM command, the key use byte is checked to ensure that the key can be used for a particular operation (key export, diversification, and encryption).

To execute SAM commands that access keys from a key file, it is mandatory for every key file, there must be a corresponding key attributes file and for every key in key file, there must be a corresponding key attributes record in key attributes file. There is a one-to-one mapping between keys in key files and key attributes records in key attributes files.

#### 3.7.3 Key counter files

Key counters file is a linear value-record file<sup>1)</sup> that holds key usage counters. On every key usage, the counter associated with this key is decremented. Once the counter reaches its minimum value, the key cannot be used any further.

There may be many-to-one mapping between keys in key files and counter in key counter files.

There are three sets of key counters file – operational, personalization, and transaction key files. Functionality and contents of these three sets are similar.

These files should be populated and configured using file data management and file attribute management commands defined in [Chapter 5](#).

### 3.8 File referencing methods

To access the data, the files in a CIPURSE™ conforming PICC can be selected by using the following methods (Explicit selection or Implicit selection).

#### Explicit selection:

- A SELECT command is used for explicit selection mode
- A different combination of the parameters along with the SELECT command will perform the explicit selection such as:
  - For explicit selection of MF, the SELECT command with FID 3F00<sub>H</sub> can be used
  - For explicit selection of ADF, the SELECT command with AID or an FID can be used
  - For explicit selection of EF, the SELECT command with FID or a command supporting addressing by SFID can be used

#### Implicit selection:

- RF initialization and anticollision process is used for implicit selection of MF
- Selection of a PxSE application may result in implicit selection of one of its registered ADFs
- Implicit selection of EF is not supported

<sup>1</sup> Must be of a version not supporting CTM



### **3.9 Reserved file identifiers**

Some of the FIDs are reserved to serve a special purpose such as file identifiers of MF, pre-defined EFs, SAM-specific EFs, and key set EFs.

For example, FIDs 60XX – 62XX are reserved for operational key set and are referenced by operational commands only.

## 4 Security architecture

### 4 Security architecture

The security architecture of this product consists of keys representing the various roles, an authentication mechanism to check the availability of a key, and the file security attributes to grant access to entitled roles only.

The security architecture is intended to restrict the access and operations on the application's data to authorized entities only.

Before executing a command on a secured object, the PICC checks if the security requirements are met in terms of file security attributes which are access rights and secure messaging rules.

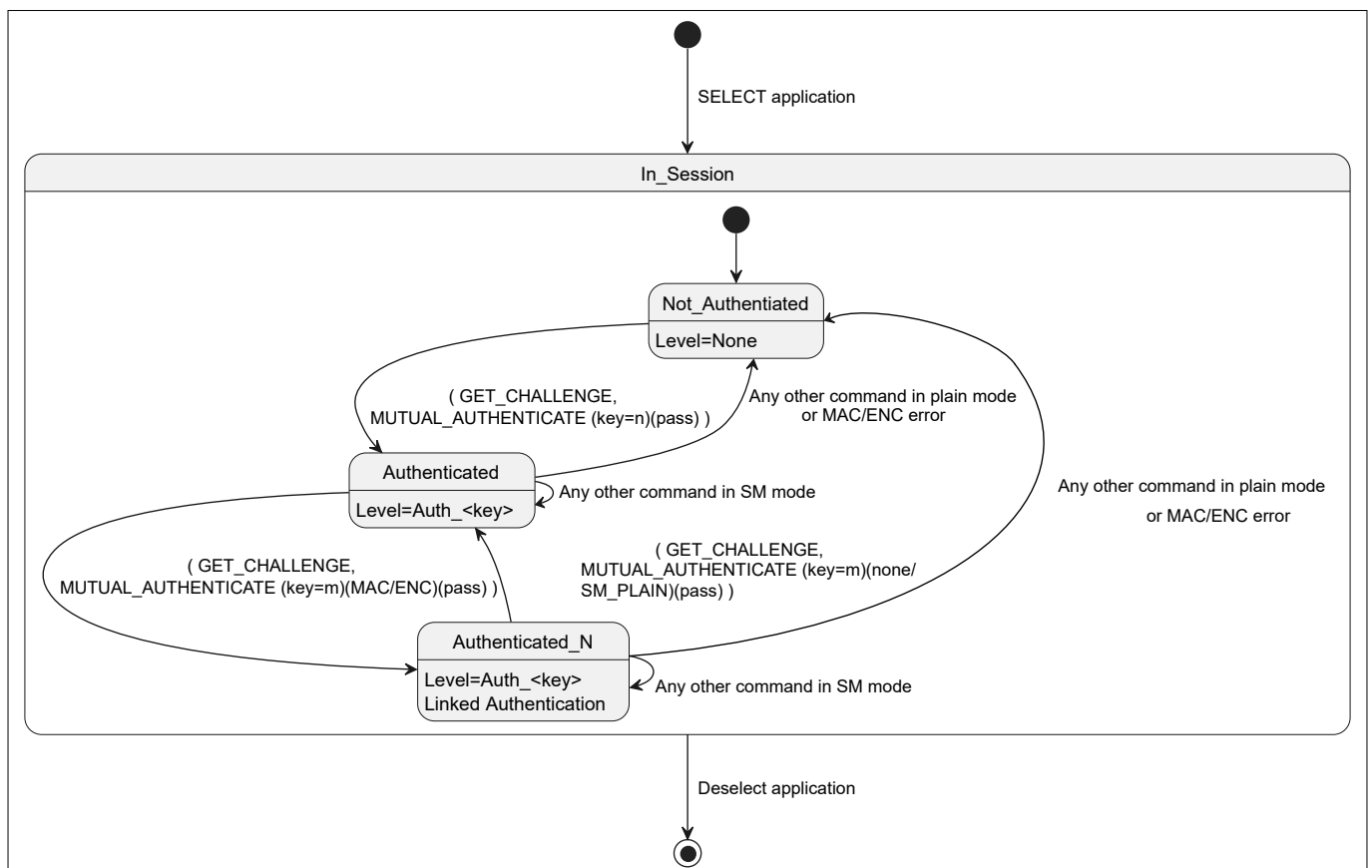
#### 4.1 Keys

There are two different sets of keys residing in SAM.

- One set of keys (AES-128 bit) is associated with MF and ADF for authentication
  - Each key has a set of secure and non-secure key attributes as defined below:
    - Secure key attributes are used to control the operations permissible with/on this key, such as if the key can be updated or immutable and if the key is valid or invalid
    - Non-secure key attributes hold an additional key information and cryptographic algorithm identifier
- The second set of keys is the keys residing in key files. Key management and usage functionality of these keys are defined in the SAM application specific command set defined in [Table 3](#), [Table 5](#), and [Table 6](#)

#### 4.2 Mutual authentication and security state

[Figure 17](#) shows the states and resulting security levels reached when a terminal sends the commands GET\_CHALLENGE and MUTUAL\_AUTHENTICATE to mutually authenticate both terminal and PICC.



**Figure 17** Authentication states and security level

## 4 Security architecture

After selection of the application owning the keys, the application is in Not\_Authenticated state with security level none.

- A GET\_CHALLENGE command followed by MUTUAL\_AUTHENTICATE command with valid cryptogram results in a transition to Authenticated state with security level Auth\_<key> referencing the key number used for authentication

In Authenticated state, all commands must be transmitted in secure channel mode.

- A GET\_CHALLENGE command followed by a MUTUAL\_AUTHENTICATE command with valid cryptogram, received in SM\_MAC or SM\_ENC mode, and referencing a new key will result in Authenticated\_N state with "linked authentication" where the previous state's security level Auth\_<key> is retained and the security level will change from Auth\_<old key> to Auth\_<new key>

In Authenticated\_N state, all commands must be transmitted in secure channel mode.

- A GET\_CHALLENGE command followed by a MUTUAL\_AUTHENTICATE command with valid cryptogram, received without secure channel or secure messaging with plain data (SM\_PLAIN), will result in Authenticated state with no "linked authentication" where the security level will reset to Auth\_<new key>

Any command received in plain mode or in secure messaging (SM) mode with invalid cryptogram will reset the state to Not\_Authenticated with security level none.

When a security level Auth\_<key> is reached, the terminal acquires the right to execute the commands that are granted to this security level, as described in [Chapter 4.3](#).

### 4.3 Access rights

Access rights grant each security level rights to execute various commands respective to a file type. Also, it defines unconditional access ("ALWAYS") to enable proximity coupling devices (PCDs) to execute commands irrespective of the security level reached and the secure messaging rules assigned to the file, see [Chapter 4.4](#).

Except for the commands GENERATE\_KEY and LOAD\_KEY, all other SAM application specific commands defined in [Table 3](#), [Table 5](#), and [Table 6](#) are not administrated by security level and secure messaging rules. Access rights to execute these commands are granted based on the SAM application specific security state as defined in [Chapter 3.2.1.2](#), [Chapter 3.2.2.3](#), and [Chapter 3.2.3.2](#).

### 4.4 Secure messaging rules

Secure messaging rules (SMR) define for a file, the minimum secure messaging levels required to execute various commands respective to a file type.

There are three different secure messaging levels available, as follows:

- SM\_PLAIN: Data is sent in plain and the transferred command does not include an integrity protection field
- SM\_MAC: Integrity-protected communication with a field of MAC in the transferred command and the data is sent in plain
- SM\_ENC: Confidential communication with encryption of data and integrity protection field in the transferred command

The PCD defines the communication security level applicable for exchanging the messages between PCD and PICC.

The PICC evaluates if the chosen security level is acceptable for the addressed file and operation.

## 5 Command set

### 5 Command set

This section defines all the commands available for operation of CIPURSE™ application.

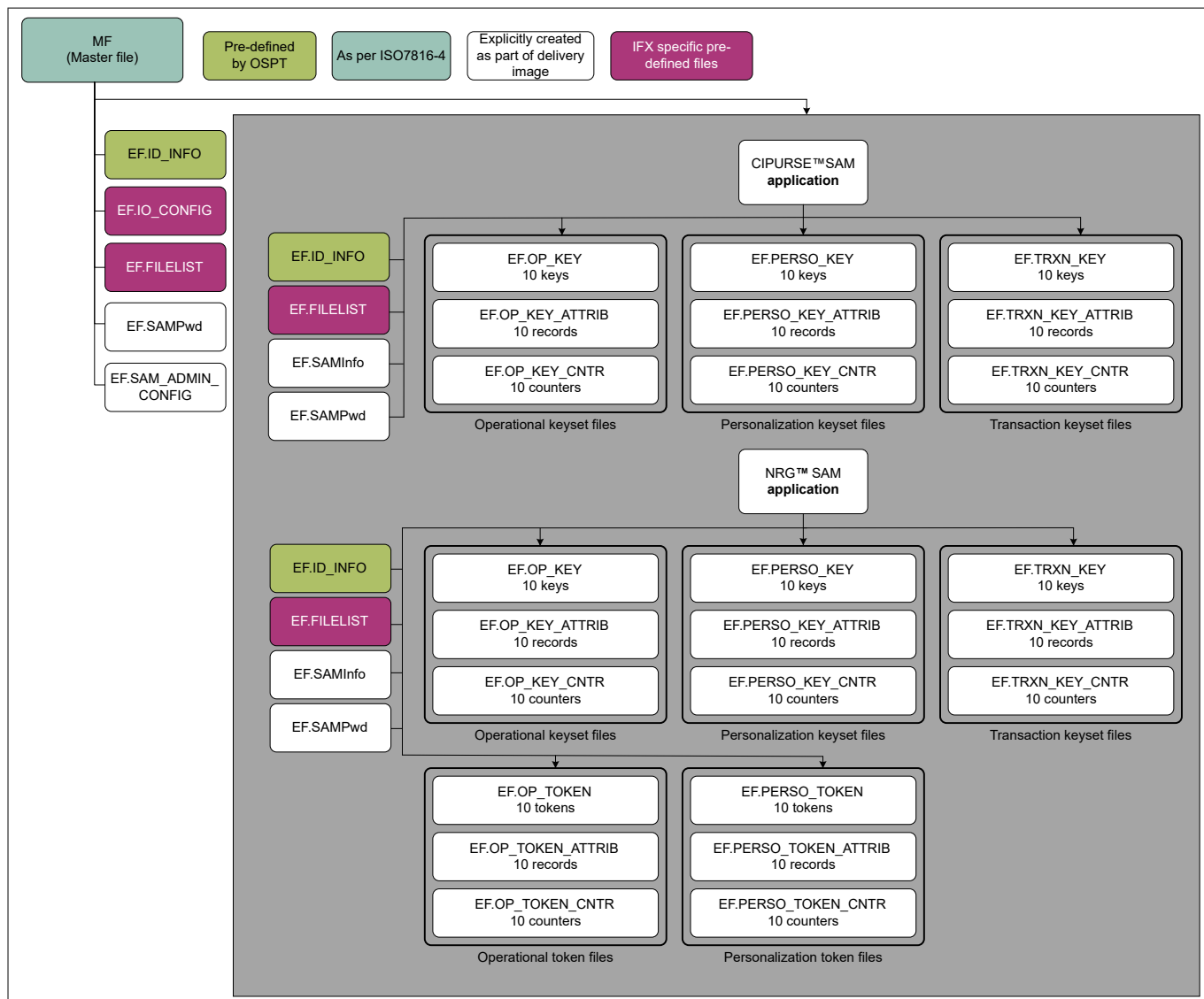
**Table 14 Overview of CIPURSE™ commands**

| Command   | Description  |
|---|--|
| <b>Multi-level commands</b>                                       |  |
| SELECT  | Selects the file (MF, ADF, or EF)  |
| <b>Commands for personalization of file system oriented PICCs</b> |  |
| CREATE_FILE (ADF, EF)   | Creates an ADF or an EF in the PICC file system  |
| DELETE_FILE (ADF, EF)   | Deletes an ADF or an EF from the PICC file system  |
| FORMAT_ALL  | Formats the file system to its initial data state<br>The MF keys, MF key attributes, and the content and attributes of predefined EFs under the MF are not formatted |
| <b>Commands for object management</b>                             |  |
| ACTIVATE_FILE (ADF)   | Activates an ADF in the PICC file system   |
| DEACTIVATE_FILE (ADF)   | Deactivates an ADF in the PICC file system   |
| <b>Commands for file attribute management</b>                     |  |
| READ_FILE_ATTRIBUTES  | Reads the MF, DF, or EF file attributes  |
| UPDATE_FILE_ATTRIBUTES  | Updates the MF, DF, or EF file attributes  |
| UPDATE_KEY  | Updates the value of a key in the PICC   |
| UPDATE_KEY_ATTRIBUTES   | Updates the attributes of a key in the PICC  |
| <b>Security related commands</b>                                  |  |
| MUTUAL_AUTHENTICATE   | Mutual authentication with the PICC  |
| GET_CHALLENGE   | Retrieves the challenge information from the PICC in order to proceed with authentication  |
| <b>Commands for file data management</b>                          |  |
| READ_BINARY   | Reads a data from a binary file  |
| UPDATE_BINARY   | Updates a data into a binary file  |
| READ_RECORD   | Reads a records from a record file or a value record file  |
| UPDATE_RECORD   | Updates a data into an existing record in a record file or a value record file   |
| APPEND_RECORD   | Appends a record to a cyclic record file that is not already full  |
| READ_VALUE  | Reads a value from a value record file   |
| INCREASE_VALUE  | Increases the value in a value record file   |
| DECREASE_VALUE  | Decreases the value in a value record file   |
| LIMITED_INCREASE_VALUE  | Increases the value in a value record file within a limited range defined by the previous DECREASE_VALUE operation   |
| LIMITED_DECREASE_VALUE  | Decreases the value in a value record file by a limited amount   |
| PERFORM_TRANSACTION   | Finalizes a transaction that is in progress  |
| CANCEL_TRANSACTION  | Cancels a transaction that is in progress  |

## 6 Delivery image

The CIPURSE™SAM product is delivered with default delivery image.

The default delivery image comes with a default file structure. The file structure serves the purpose of general SAM use case for CBP and NRG™ products. It also comes with access condition set to unconditional ("ALWAYS"). The file structure is shown in [Figure 18](#).



**Figure 18** Default delivery image for CIPURSE™SAM product

*Note:* The `FORMAT_ALL` command at delivery state moves the card to empty state, which contains MF and predefined EFs under MF.

## 7 Operational characteristics

## 7 Operational characteristics

### 7.1 Absolute maximum ratings

Stresses above the values listed in [Table 15](#) may cause permanent damage to the device. This is a stress rating only and functional operation of the device at these or any other conditions whose value exceeds those indicated in the operational sections of this data sheet is not implied. Exposure to absolute maximum rating conditions for extended periods may affect device reliability, including electrically erasable programmable read-only memory (EEPROM) data retention and write/erase endurance.

**Table 15 Absolute maximum ratings**

| Parameter            | Symbol          | Limit values |     |      | Unit | Note/test condition |
|----------------------|-----------------|--------------|-----|------|------|---------------------|
|                      |                 | Min          | Typ | Max  |      |                     |
| Junction Temperature | T <sub>J</sub>  | -40          | -   | 110  | °C   | -                   |
| Storage Temperature  | T <sub>S</sub>  | -40          | -   | 125  | °C   | -                   |
| Supply Voltage       | V <sub>CC</sub> | -0.3         | -   | 7.0  | V    | -                   |
| ESD protection       | VESD_ISO, HBM   | -            | -   | 4000 | V    | JESD22-A114C [3]    |
|                      | VESD_ISO, CDM   | -            | -   | 500  | V    | JESD22-C101C [4]    |

*Note:* For further information on [Table 15](#), please refer to your Infineon Technologies office or representative.

### 7.2 Electrical characteristics

Data retention is for minimum of 25 years at 25°C in non-volatile memory (NVM) cells that were never previously programmed. The product supports:

- At least 30 million updates on the key counter files
- At least 100k update operations for all other EFs

**Table 16 Operation range**

| Parameter           | Symbol         | Limit values |     |     | Unit | Note/test condition         |
|---------------------|----------------|--------------|-----|-----|------|-----------------------------|
|                     |                | Min          | Typ | Max |      |                             |
| Ambient temperature | T <sub>A</sub> | -40          | -   | 85  | °C   | T <sub>J</sub> must be kept |

Over recommended operational temperature range.

**Table 17 ISO/IEC 7816-3 card DC electrical characteristics**

| Parameter      | Symbol          | Values |     |     | Unit | Note/test condition |
|----------------|-----------------|--------|-----|-----|------|---------------------|
|                |                 | Min    | Typ | Max |      |                     |
| Supply voltage | V <sub>CC</sub> | 1.62   | -   | 5.5 | V    | -                   |

(table continues...)

**7 Operational characteristics**
**Table 17 (continued) ISO/IEC 7816-3 card DC electrical characteristics**

| Parameter                       | Symbol      | Values         |     |                 | Unit | Note/test condition                           |
|---------------------------------|-------------|----------------|-----|-----------------|------|---|
|                                 |             | Min            | Typ | Max             |      |   |
| Pad input voltage <sup>1)</sup> | $V_I$       | -0.3           | -   | $V_{CC} + 0.3$  | V    | -   |
| Supply current                  | $I_{CCAVG}$ | -              | 25  | -               | mA   | -   |
| <b>RST</b>                      |             |                |     |                 |      |   |
| Input high voltage              | $V_{IH}$    | $0.7 * V_{CC}$ | -   | $V_{CC}$        | V    | -   |
| Input low voltage               | $V_{IL}$    | 0              | -   | $0.2 * V_{CC}$  | V    | -   |
| <b>CLK</b>                      |             |                |     |                 |      |   |
| Input high voltage              | $V_{IH}$    | $0.7 * V_{CC}$ | -   | $V_{CC}$        | V    | -   |
| Input low voltage               | $V_{IL}$    | 0              | -   | $0.2 * V_{CC}$  | V    | -   |
| <b>I/O</b>                      |             |                |     |                 |      |   |
| Input high voltage              | $V_{IH}$    | $0.7 * V_{CC}$ | -   | $V_{CC}$        | V    | -   |
| Input low voltage               | $V_{IL}$    | 0              | -   | $0.2 * V_{CC}$  | V    | -   |
| Output high voltage             | $V_{OH}$    | $0.7 * V_{CC}$ | -   | $V_{CC}$        | V    | $I_{OH\_max} = +20 \mu A$ , 20 kΩ to $V_{CC}$ |
| Output low voltage              | $V_{OL}$    | 0              | -   | $0.15 * V_{CC}$ | V    | $I_{OL\_max} = -1 \text{ mA}$                 |

1) ISO/IEC 7816-3 card maximum rating

**Table 18 ISO/IEC 7816-3 card AC electrical characteristics**

| Parameter              | Symbol          | Values |     |        | Unit | Note/test condition         |
|------------------------|-----------------|--------|-----|--------|------|-----------------------------|
|                        |                 | Min    | Typ | Max    |      |                             |
| $V_{CC}$ rampup time   | $t_{R\_VCC}$    | 1      | -   | $10^7$ | μs   | 0 to 100% of target voltage |
| <b>RST</b>             |                 |        |     |        |      |                             |
| Rise/fall time         | $t_R, t_F$      | -      | -   | 400    | μs   | -                           |
| Input load capacitance | $C_{LOAD}$      | -      | -   | 30     | pF   | -                           |
| <b>CLK</b>             |                 |        |     |        |      |                             |
| External frequency     | $f_{UART\_CLK}$ | 1      | -   | 10     | MHz  | @ duty cycle 40% to 60%     |

(table continues...)

## 7 Operational characteristics

**Table 18** (continued) ISO/IEC 7816-3 card AC electrical characteristics

| Parameter              | Symbol     | Values |     |                            | Unit | Note/test condition                              |
|------------------------|------------|--------|-----|----------------------------|------|--|
|                        |            | Min    | Typ | Max                        |      |  |
| Rise/fall time         | $t_R, t_F$ | -      | -   | $0.09 * (1/f_{UART\_CLK})$ | ns   | Measured between 10% and 90% of signal amplitude |
| Input load capacitance | $C_{LOAD}$ | -      | -   | 30                         | pF   | -  |

### I/O

|                        |            |   |   |    |    |   |
|------------------------|------------|---|---|----|----|---|
| Rise/fall time         | $t_R, t_F$ | - | - | 1  | μs | - |
| Input load capacitance | $C_{LOAD}$ | - | - | 30 | pF | - |



## References

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### ISO/IEC

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### NFC Forum

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## **Glossary**

### **ADF**

*application dedicated file (ADF)*

### **AES**

*Advanced Encryption Standard (AES)*

The standard for the encryption of electronic data established by the U.S. National Institute of Standards and Technology (NIST) in 2001. The algorithm described by AES is a symmetric-key algorithm (i.e. the same key is used for both encryption and decryption).

### **AFC**

*automatic fare collection (AFC)*

### **AID**

*application identifier (AID)*

Used to reference (select) an application.

### **APDU**

*application protocol data unit (APDU)*

The communication unit between a smart card reader and a smart card.

### **ATR**

*answer to reset (ATR)*

A message conforming to ISO/IEC 7816 sent by the controller following a reset. It contains information on communication parameters, type and state of the chip.

### **CBC**

*cipher block chaining (CBC)*

### **CC**

*Common Criteria for Information Technology Security Evaluation (CC)*

An international standard (ISO/IEC 15408) for computer security certification.

### **CBP**

*CIPURSE™-based product(s) (CBP)*

### **CDM**

*charged device model (CDM)*

### **CLK**

*clock (CLK)*

### **CIPURSE™**

Open security standard for transit fare collection systems. CIPURSE™ is a trademark of the Open Standard for Public Transport Alliance.

### **CTM**

*consistent transaction mechanism (CTM)*

---

**Glossary**

**DES**

*Data Encryption Standard (DES)*

The standard referring to a symmetric-key algorithm for the encryption of electronic data.

**DFA**

*differential fault analysis (DFA)*

A class of side channel attacks in the field of cryptography, specifically cryptographic analysis. Faults are induced into cryptographic implementations with the intention of revealing information about their internal states.

**DF**

*dedicated file (DF)*

**DPA**

*differential power analysis (DPA)*

A class of attacks against smart cards and secure cryptographic tokens. The attack involves monitoring how much power a microprocessor uses as it functions, then using advanced statistical methods to determine secret keys or personal identification numbers involved in the computations.

**EAL**

*evaluation assurance level (EAL)*

**ECB**

*electronic code book (ECB)*

**EEPROM**

*electrically erasable programmable read-only memory (EEPROM)*

**EF**

*elementary file (EF)*

A file system component containing (user) data.

**ENC**

*encryption (ENC)*

**ESD**

*electrostatic discharge (ESD)*

The sudden draining of electrostatic charge. Even with small charges, it poses a considerable risk to small semiconductor structures, in particular MOS structures. It is therefore essential to take precautions when dealing with unprotected semiconductors.

**FID**

*file identifier (FID)*

Used to reference an elementary file.

**HBM**

*human body model (HBM)*

**IEC**

*International Electrotechnical Commission (IEC)*

The international committee responsible for drawing up electrotechnical standards.

---

**Glossary**

**I/O**

*input/output (I/O)*

**ISO**

*International Organization for Standardization (ISO)*

**JEDEC**

*Joint Electron Device Engineering Council (JEDEC)*

**MAC**

*message authentication code (MAC)*

Used to prove message integrity.

**MF**

*master file (MF)*

The root of the CIPURSE™ file system.

**NFC**

*near field communication (NFC)*

**NRG™**

ISO/IEC 14443-3 type A with CRYPTO1

**NVM**

*non-volatile memory (NVM)*

**PCD**

*proximity coupling device (PCD)*

A reader device for NFC cards.

**PICC**

*proximity integrated circuit card (PICC)*

A contactless smart card which can be read without inserting it into a reader device.

**PxSE**

*proximity system environment (PxSE)*

A generic term for various system-environment applications that are specific to the application family.

**RF**

*radio frequency (RF)*

**RFU**

*reserved for future use (RFU)*

**RST**

*reset (RST)*

**SAM**

*secure access module (SAM)*

A module based on smart card integrated circuits, and used to enhance the security and cryptography performance in devices. It is commonly used in smart card readers that need to perform secure transactions, for example, payment or ticketing terminals. The module is also referred to as a secure application module.

---

**Glossary**

**SDES**

*single DES (SDES)*

**SFID**

*short file identifier (SFID)*

**SIM**

*subscriber identity module (SIM)*

**SMG**

*secure messaging group (SMG)*

This belongs to the file security attributes. Commands are clustered into SMGs, where each of them lists one or more commands.

**SMR**

*secure messaging rules (SMR)*

Object-specific messaging rules combining four SMGs.

**SM**

*secure messaging (SM)*

A secure channel that is established between the secure element and a communication partner to ensure confidentiality and authenticity of the exchanged data.

**SM\_PLAIN**

*secure messaging with plain data (SM\_PLAIN)*

Communication with endpoint internal preparation for integrity verification. Data are sent plain, and the transferred frame does not include an integrity protection field.

**SPA**

*simple power analysis (SPA)*

**TDES**

*Triple DES (TDES)*

**UART**

*universal asynchronous receiver/transmitter (UART)*

A universal asynchronous receiver transmitter is used for serial communications over a peripheral device serial port by translating data between parallel and serial forms.

## **Revision history**

| <b>Reference</b>   | <b>Description</b> |
|--|--------------------|
| <b>Revision 1.0, 2023-01-05 - Valid for product version V1.2.3 or higher</b> |                    |
| All  | Initial release    |

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